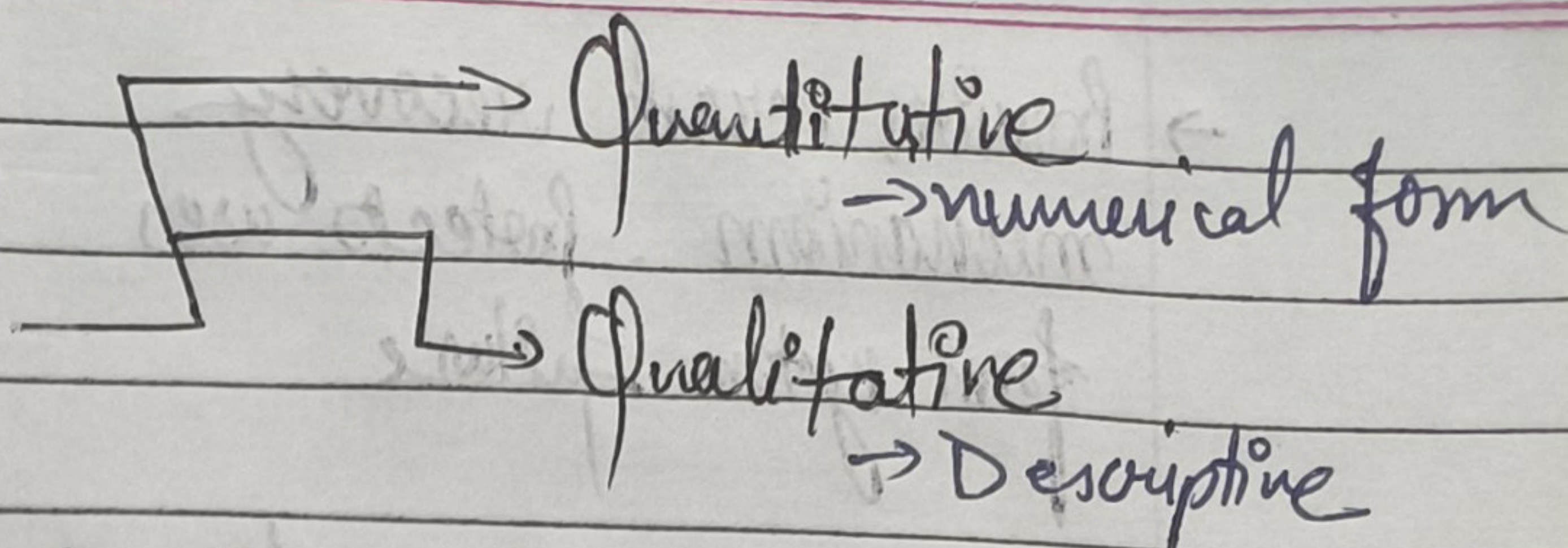


DBMS

Data = Collection of raw bytes.



Information : processed, organised & structured data.
 → It provides context of data & enables decision making.

→ RDBMS (Database management System)
Relational
 → A electronic place where data is stored
 * Creating, updating, deleting & inserting

DBMS : collection of interrelated data & a set of program to access those data.

* Interface to perform data operation.

Need of DBMS :

optimisation : by minimizing storage & time of data retrieval.

Advantages :

- Controls redundancy
- Data sharing
- Backup & recovery
- Multiple user interface.

Disadvantage :

- Size
- Complexity
- Cost

DBMS V/s File System

| DBMS | FS |
|--|---|
| → No need to write procedures | → Need to write procedures |
| → Gives abstract view, hides the details | → Always provides detailed view & storage representation. |

→ Provides crash recovery mechanism. Protects users from system failure

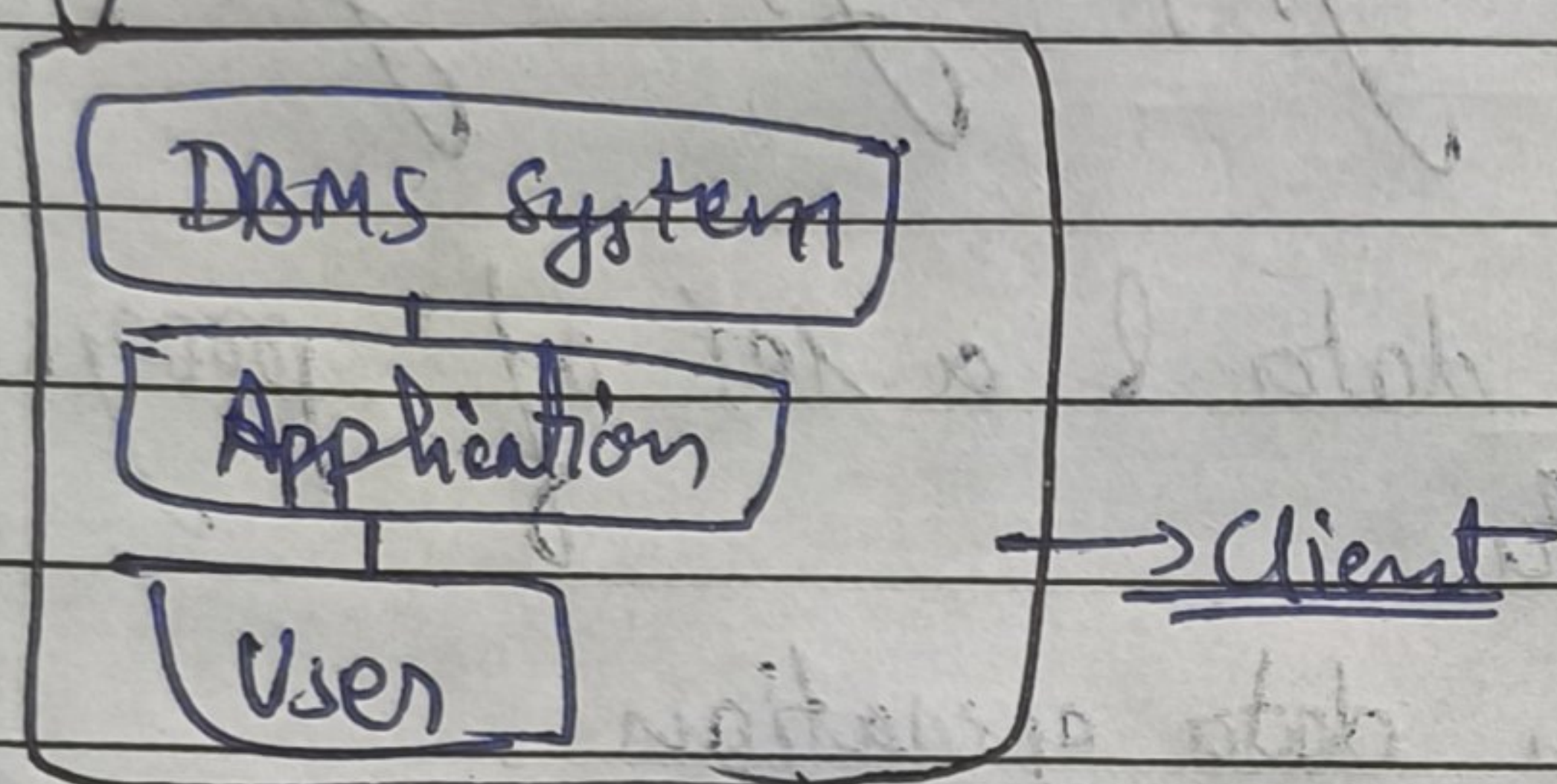
→ Doesn't provide crash mechanism, system crash while entering data, data will be lost

→ Contains wide variety of techniques to store & retrieve data.

→ PS can't effectively store data

DBMS Application Architecture

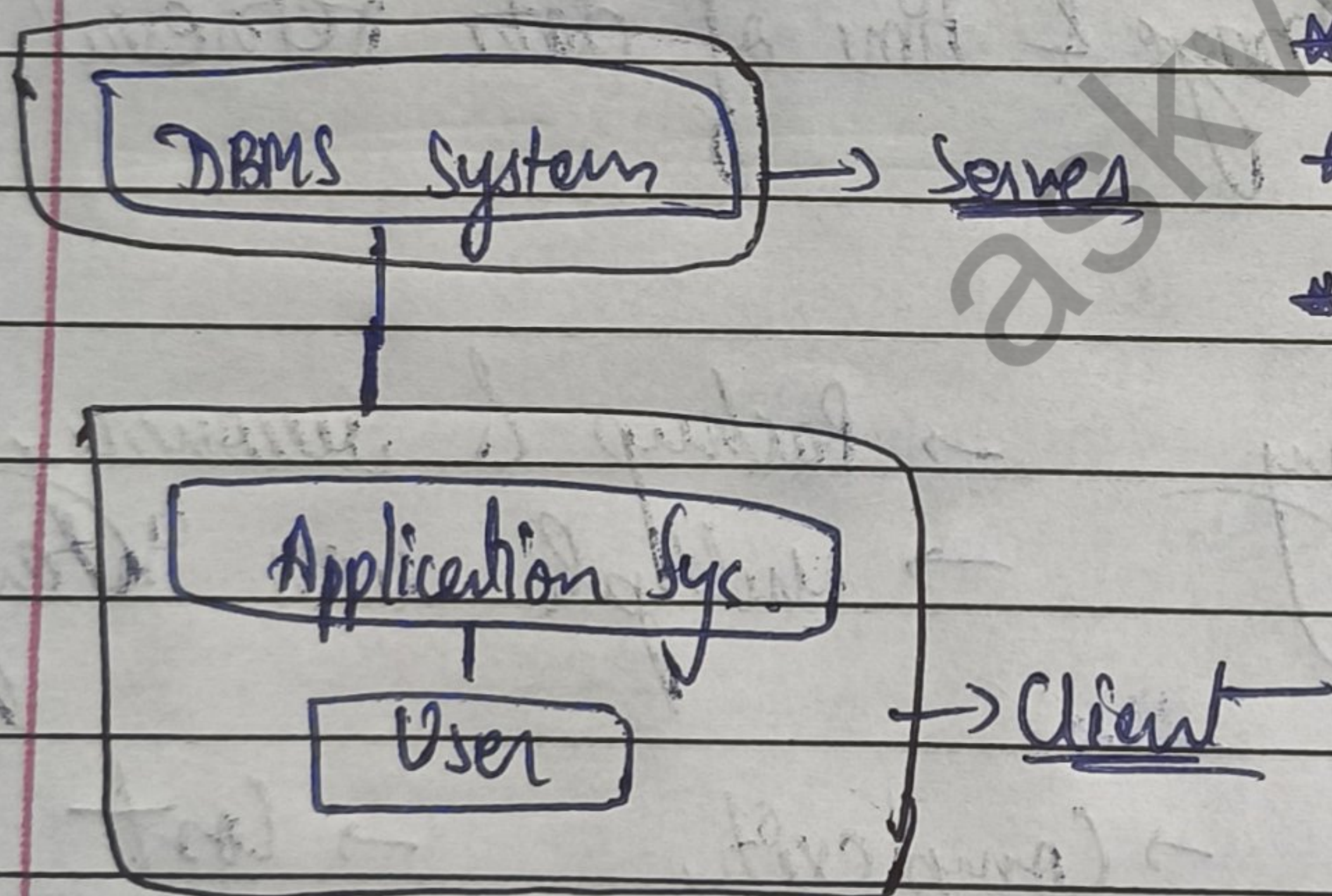
1. Single tier :



* Everything is available at Client m/c.

* Used for local development

2. Second tier :

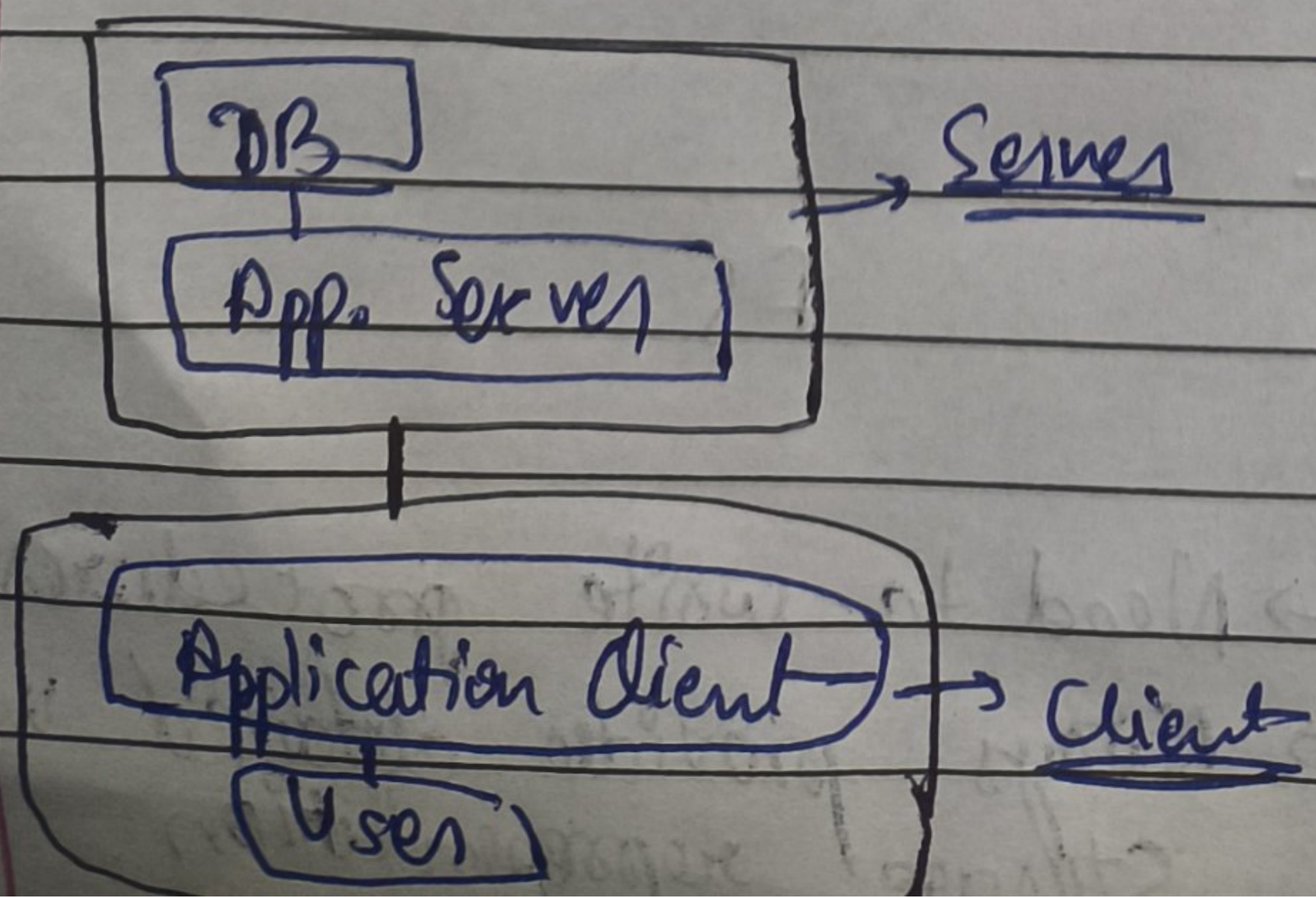


* DB at Server m/c.

* Connected via reliable n/w

* for API integration like ODBC, JDBC.

3. Third tier :



* Most popular & reliable

* DB & application server

can or can not be together.

* everything is integrated using API.

Data abstraction:

main purpose is to provide data independence

Hiding irrelevant details from users & providing abstract view of data to user helping easy, interactive & efficient user database interaction.

Three level of abstraction

① Physical / Internal level
level



Tells how it is stored?

Access = Random or Sequential?

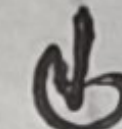
DB type used?

② Logical level / Conceptual level



Stores relationships of tables

③ View level / External level



→ Highest level of abstraction

→ Only part of DB viewed by user.

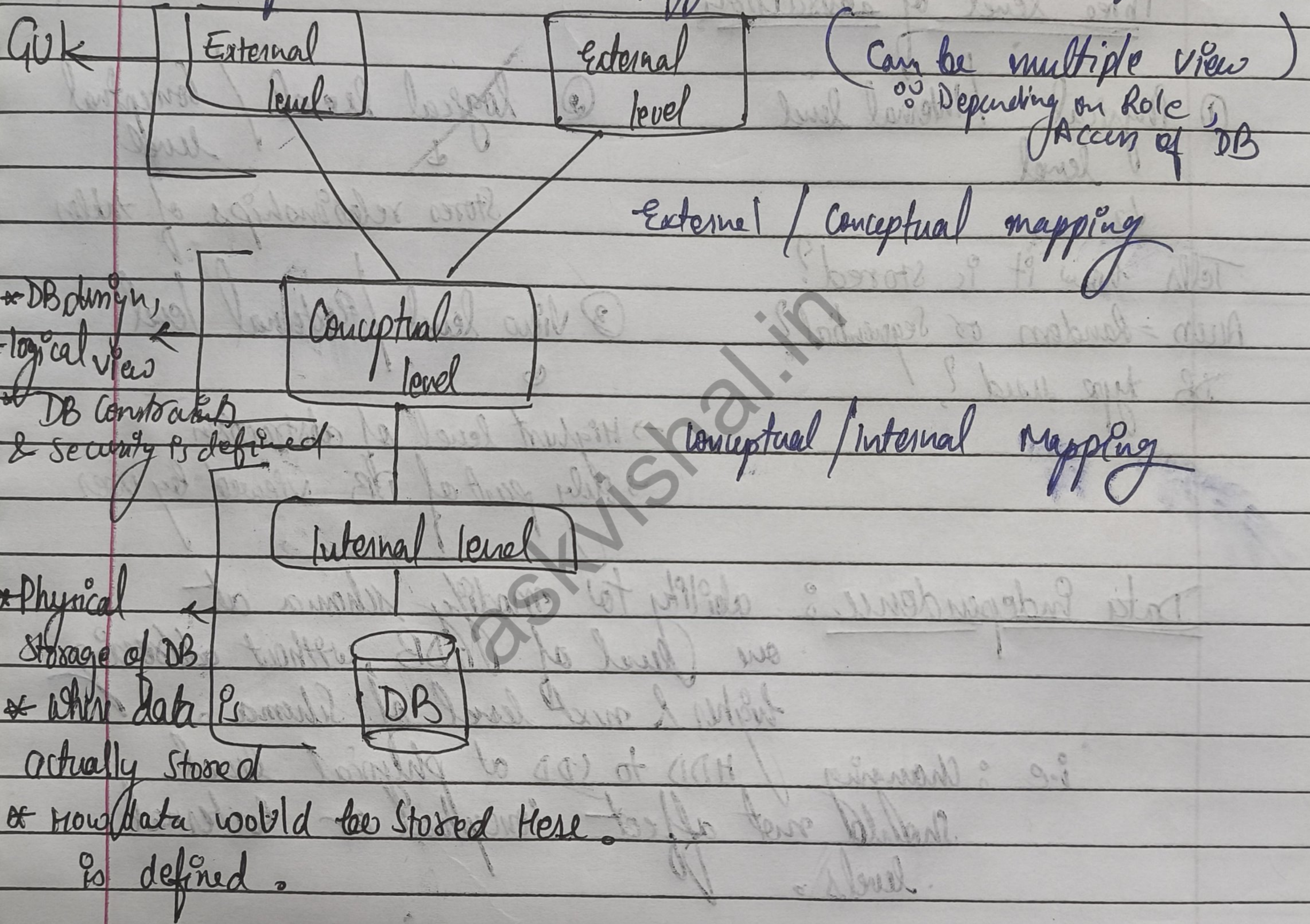
Data Independence: ability to modify schema at one level of DB, without addressing higher & next level of schema.

i.e.: Changing HDD to SSD at physical level should not affect conceptual or external levels.

Schema: design of the database (layout)

View of Data (Three Schema Architecture)

→ The major purpose of DBMS is to provide user with an abstract view of the data, to simplify user interaction with system.



Instance of DB At a particular point in time how many data points are in storage.

| | Name | Ph. no. | Address | |
|---|-------|---------|---------|-----------------------|
| ① | _____ | _____ | _____ | 12:00 AM : 2 instance |
| ② | _____ | _____ | _____ | 12:15 AM : 3 instance |
| ③ | _____ | _____ | _____ | |

Database languages

A DBMS has appropriate languages and interface to express data queries & database updates.

Types of database language

- ① Data Definition language : specify the database schema.
* create, alter, drop, truncate, rename.
- ② Data manipulation language : express database queries & updates.
* select, insert, update, delete, merge

| | delete | drop & truncate | |
|--------------|-----------------------|--------------------|----------------------|
| Feat. | delete | drop | truncate |
| Action | removes specific rows | removes all rows | removes entire table |
| Type | DML | DDL | DDL |
| where clause | Supported | Not Supported | Not Supported |
| Rollback | Possible | Database dependent | Harder to undo |
| Speed | Slow | Fast | Fast |
| Space | Keeps empty space | Resets storage | Reclaims all storage |

- ③ Data Control language : we specify consistency constraints.
→ which must be checked every time DB is updated.

* Grant & Revoke

- ④ Transaction control language :
→ when transactions are successfully committed only then changes in the database is committed otherwise rollback. to save at point : savepoint.

Data Models

- provides a way to describe the design of a DB, at logical level.
- underlying structure of DB is the Data Model; a collection of conceptual tools for describing data, data relationships, data semantics & consistency constraint.

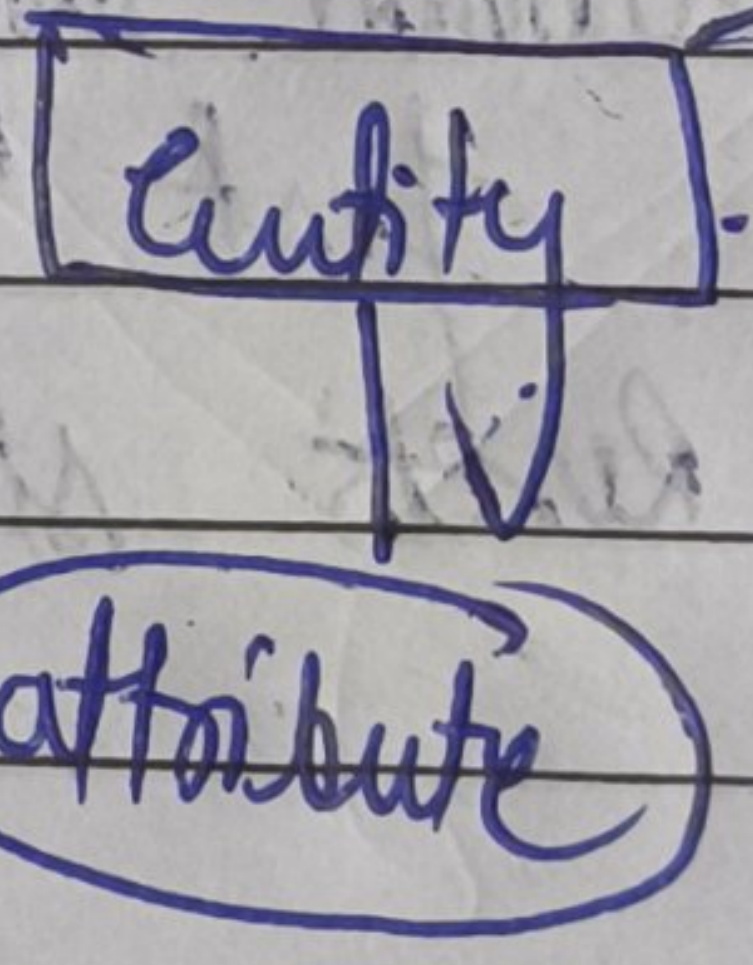
- eg:
- ① ER model
 - ② Object Oriented Model
 - ③ Relational Model
 - ④ Hierarchical Model
 - ⑤ Network Model.

ER Model [Entity Relationship Model]

[* Refer codehelp dbms vol for detailed explanation]

real world objects → entity, properties used to describe
 relationship b/w ~~entity~~ → Relation entity ⇒ attribute
 should be precise & limited

→ entity can be uniquely identified by a primary attribute (aka primary key)

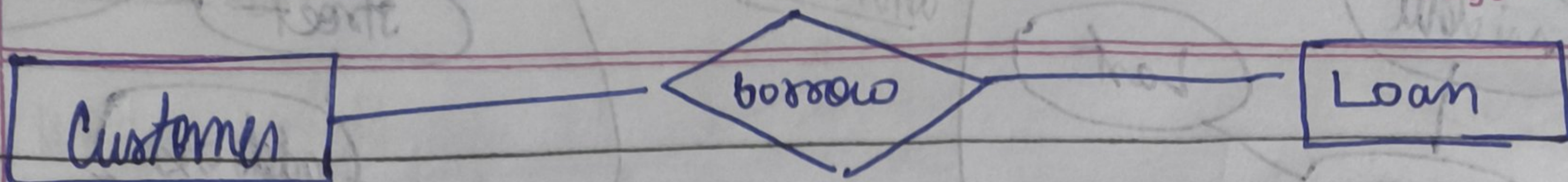


Entity set: a set of entities of the same type that share some properties or attributes

eg: Student, Customer

Relations: Entity 1: Customer Entity 2: loan
 Student enroll Course

Relation



ER Model : high level data model based on perception of real world that consist of basic objects, called entities. and of relationship among these objects.

—————> acts as a blueprint

* Attribute

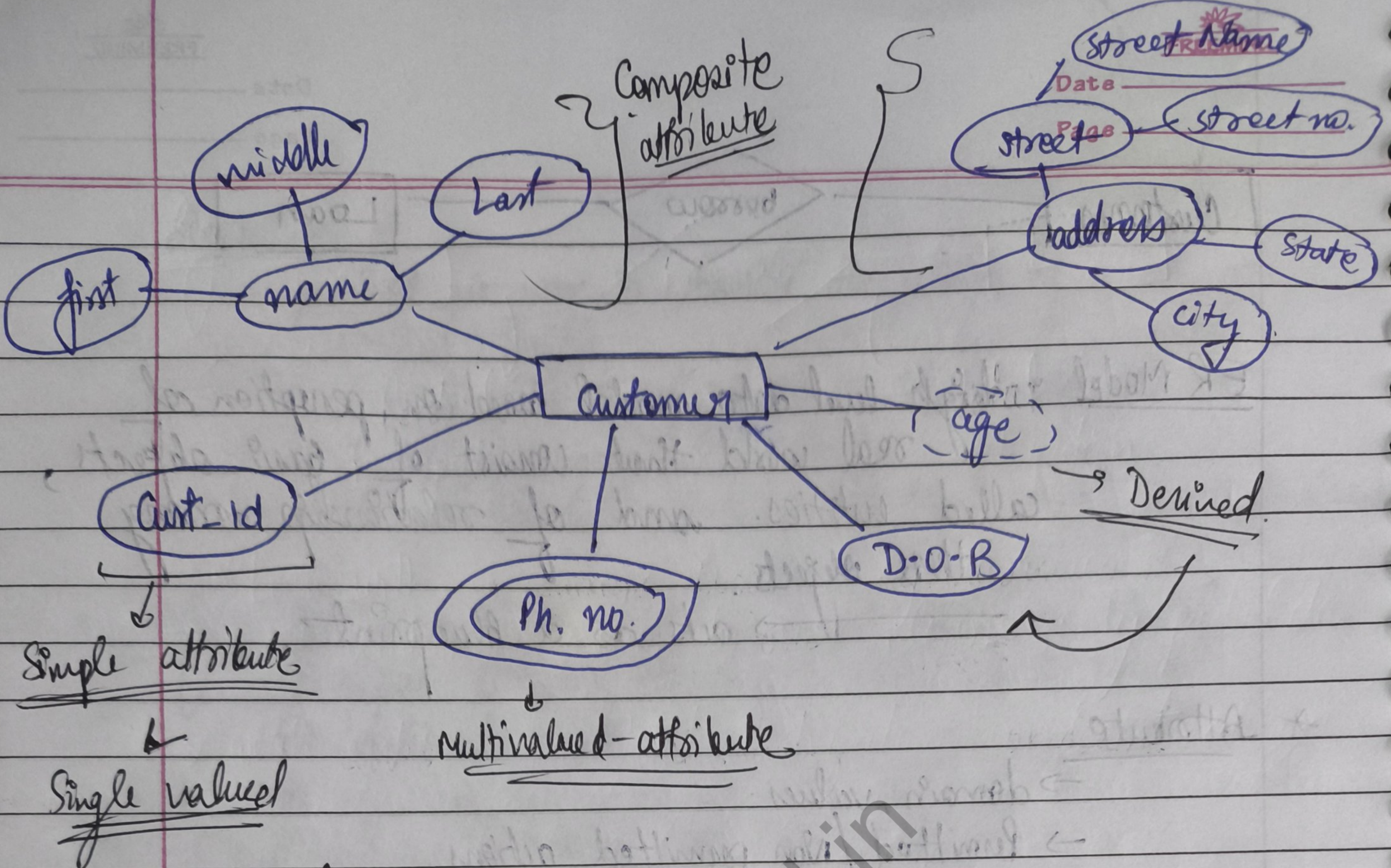
→ domain, values

→ permitted / non permitted actions

→ consistency constraints.

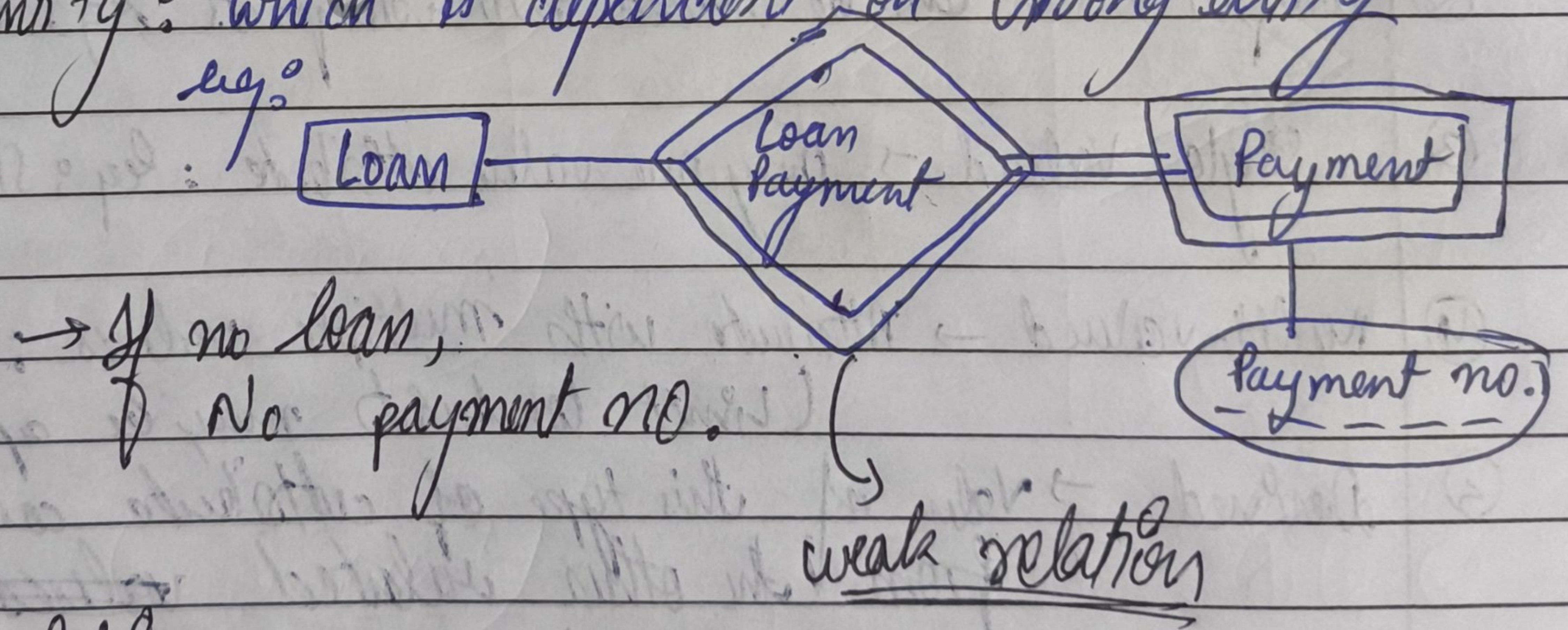
types of attribute

- ① Simple → which can not be further divided. eg. cust. acc. no.
- ② Composite → can be divided into sub parts. eg. name of person.
- ③ Single Valued → Only one value attribute : eg. Student ID
- ④ Multi-valued → Attribute with multiple values : eg. Ph. no.
(Limit constraints may be applied)
- ⑤ Derived → value of this type of attribute can be derived from the other related ~~values~~ attribute.
eg. Age from D.O.B.
- ⑥ Null → an attribute with no value.
means ~~any~~ : 'not applicable', 'value does not exist'
ie → person with no middle name. → unknown
leg : P.T.O → Missing

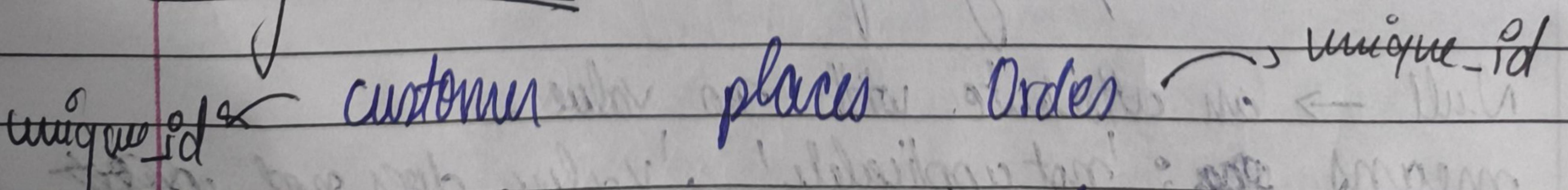


Relationships

- Strong entity** : which itself could be uniquely identified.
eg: Student with primary key as roll no.
- Weak entity** : which is dependent on strong entity
eg:

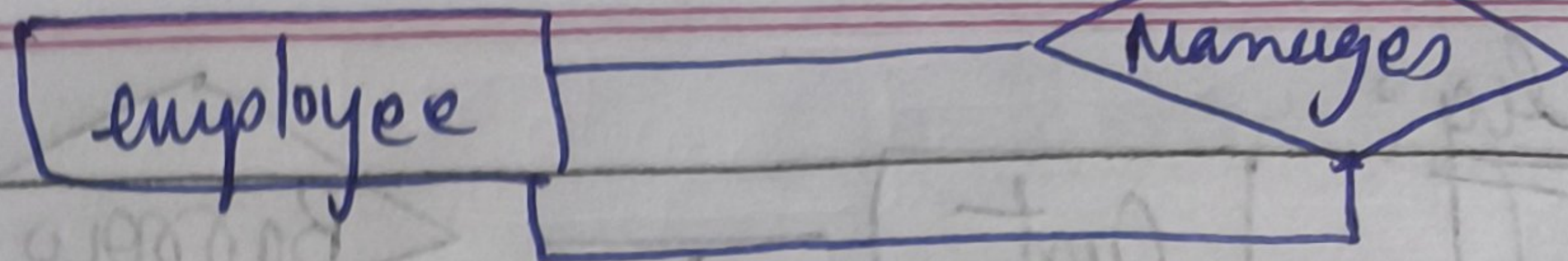


Strong relations

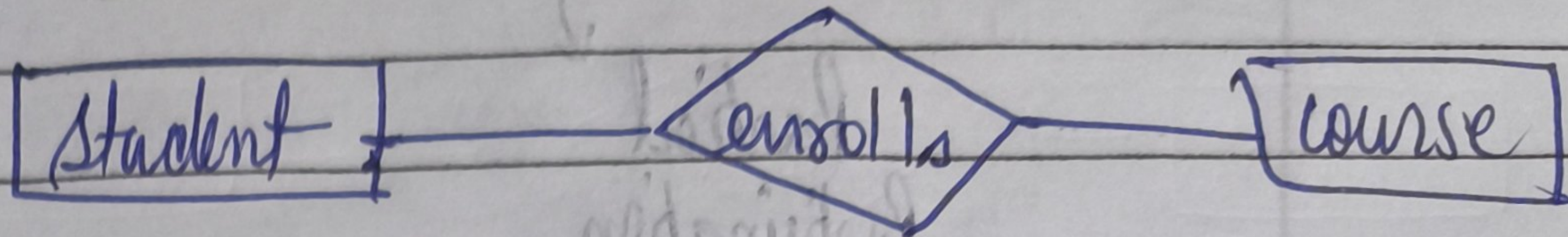


Degree of Relation : total no. of entities participating in a relationship.

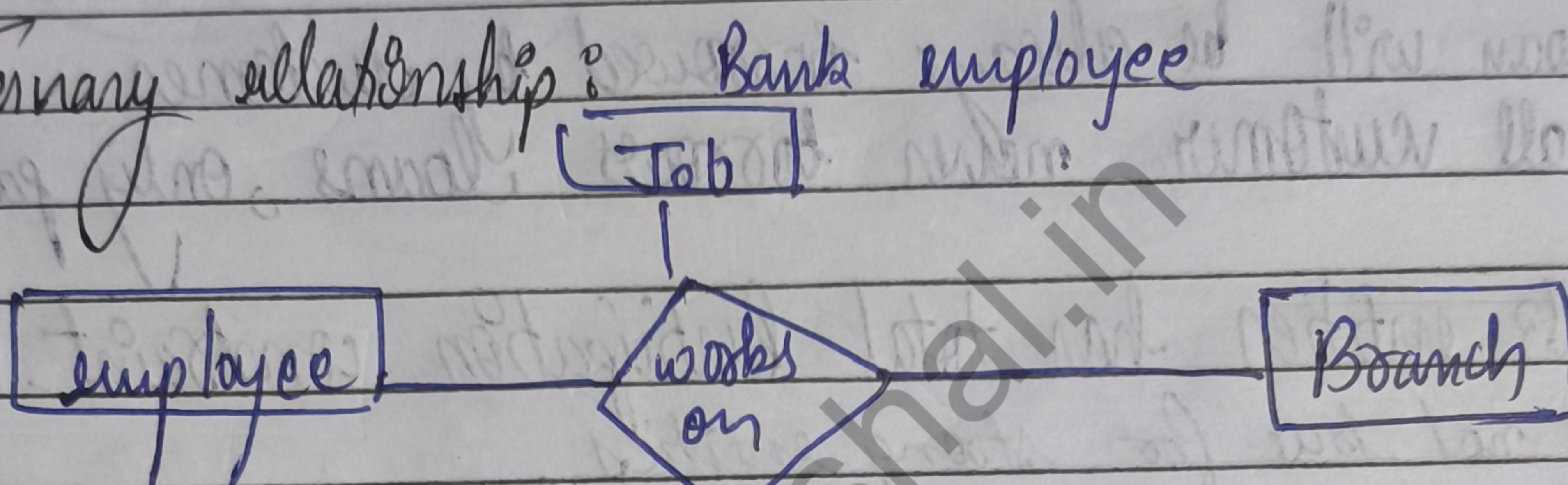
a) unary relationship :



b) Binary relationship :

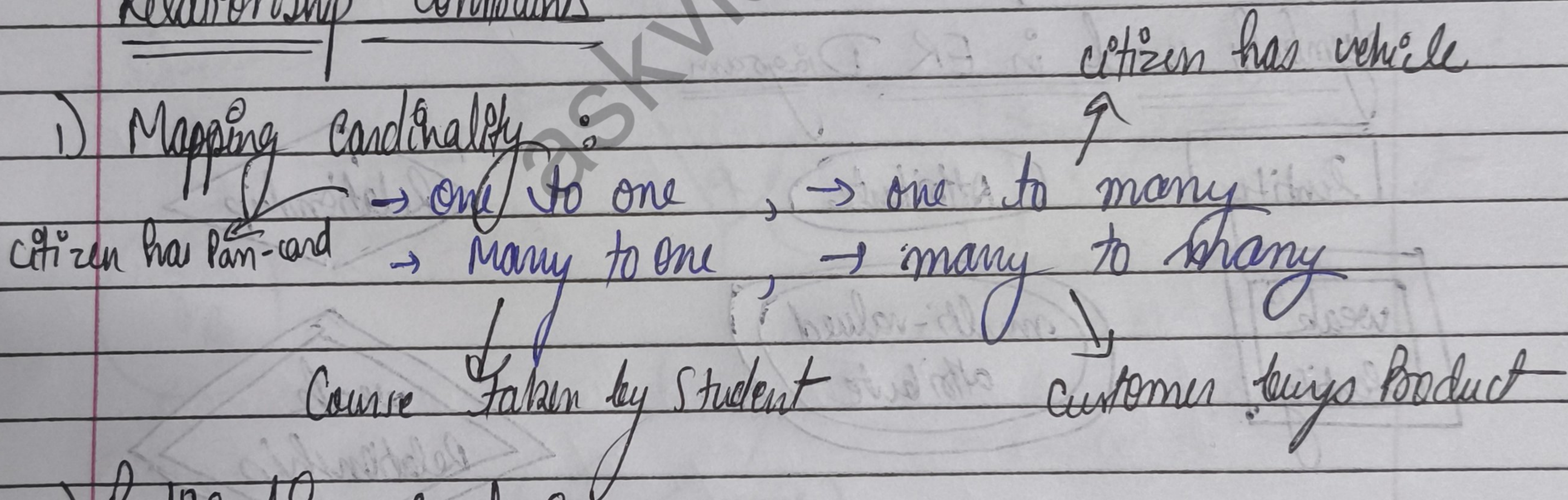


c) Ternary relationship :



Relationship Constraints

1) Mapping Cardinality :

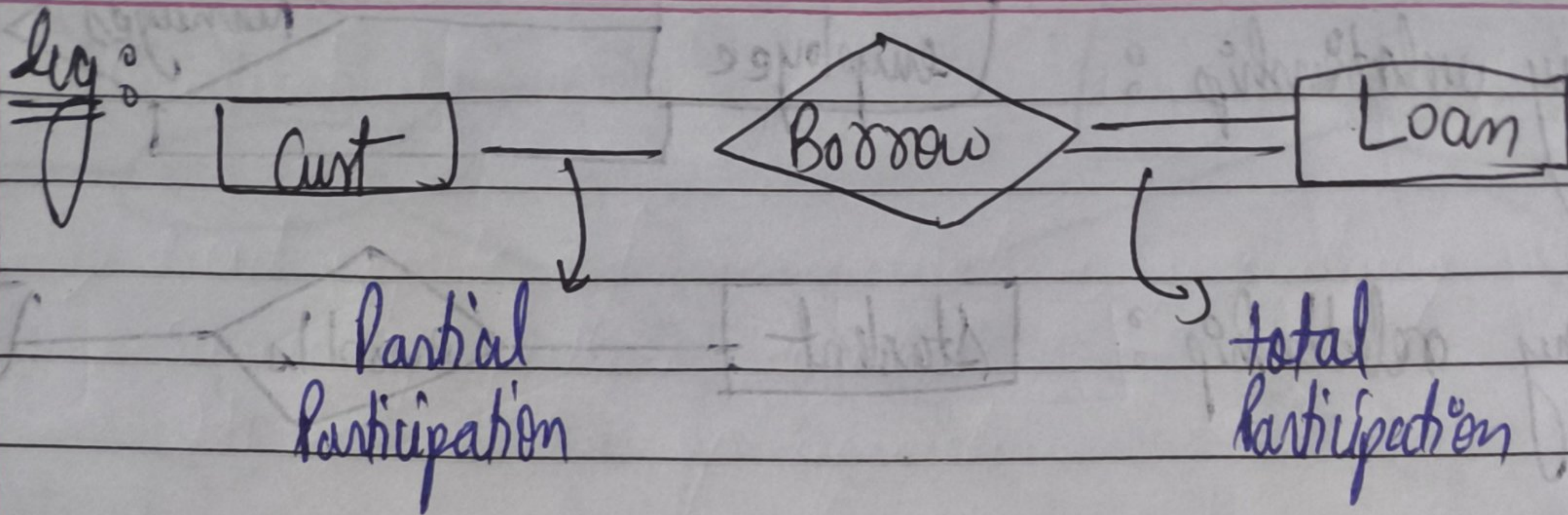


2) Participation Constraint :

aka minimum cardinality constraint.

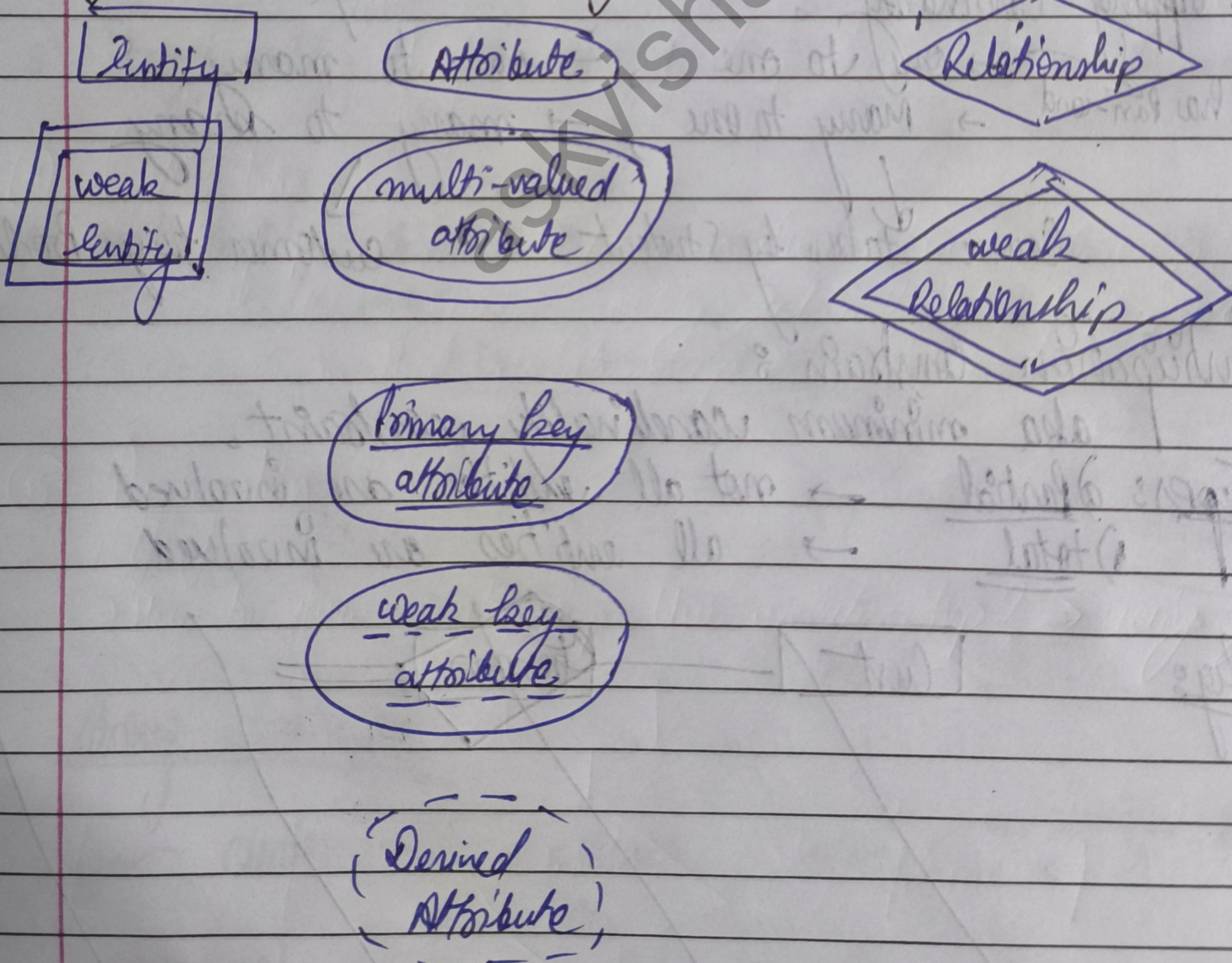
Types :

- partial → not all entities are involved
- total → all entities are involved.



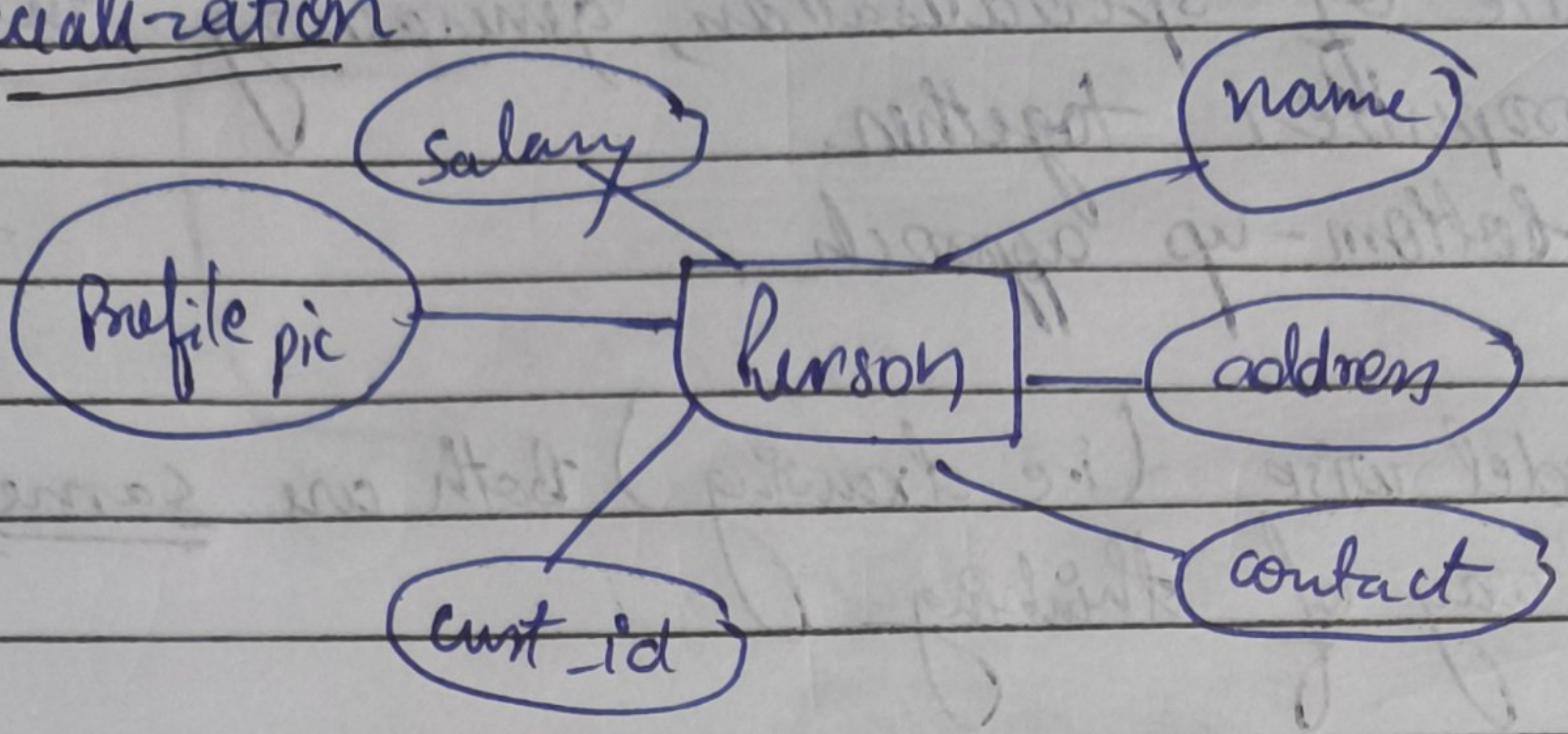
- Loan will be always borrowed by customers.
 - all customer never borrows loans, only partial do.
- * weak entities has total participation constraint, always, but not true for strong entities.

Symbols used in ER Diagram

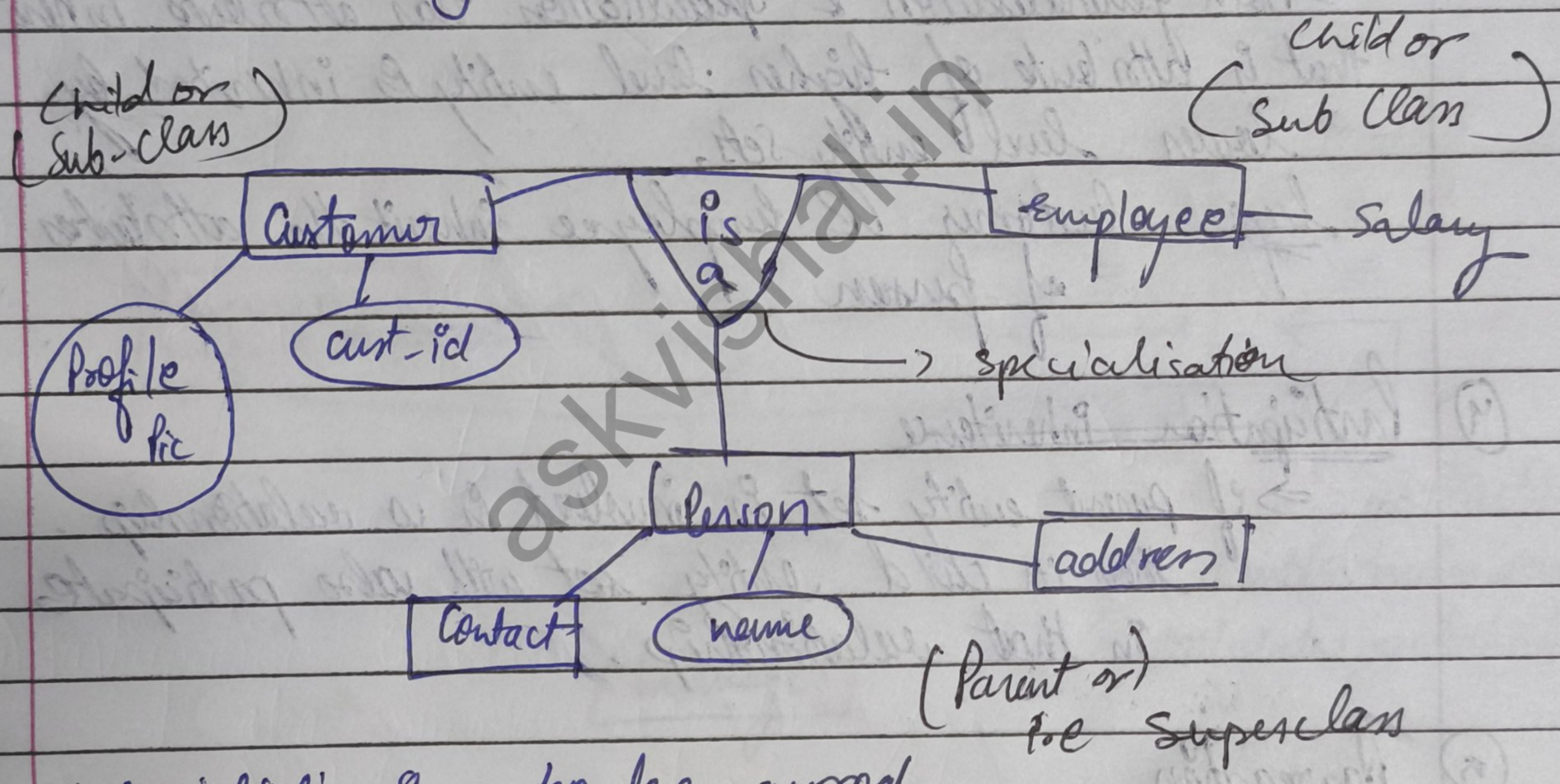


Extended ER features

① Specialization

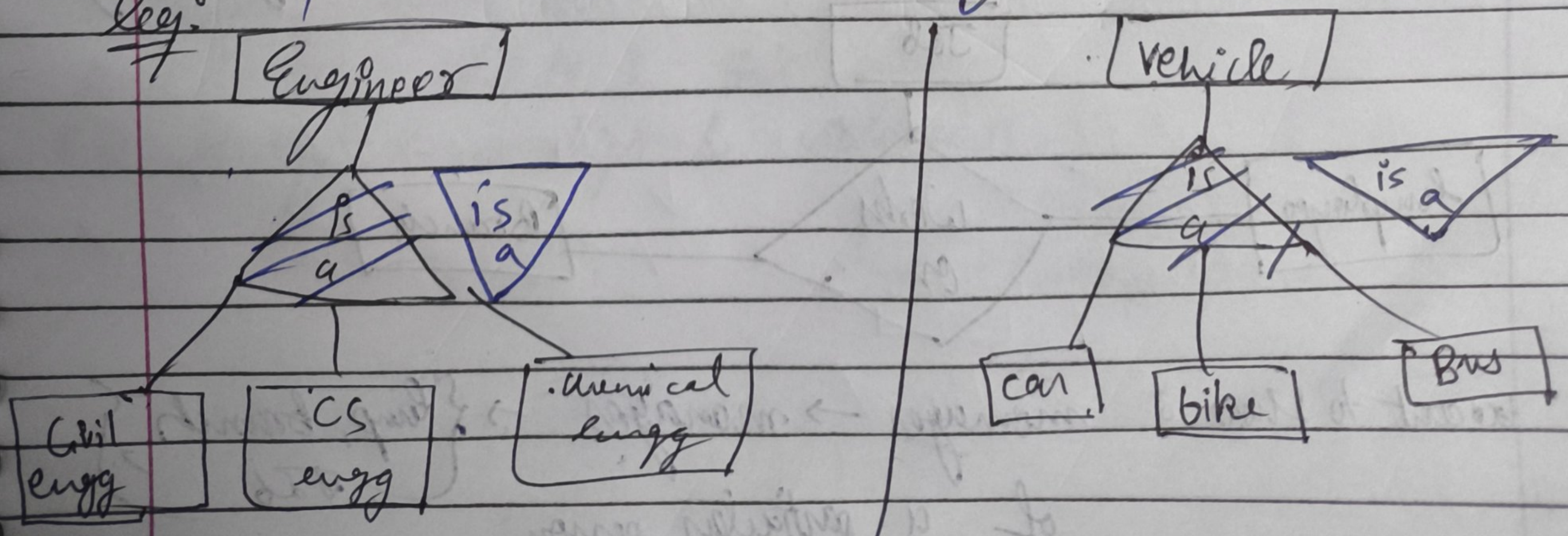


⇒ to many details which are merged together.



Specialisation is a top-down approach where entities are splitted, based on functionalities, specialities & features. (onto sub-entity set)

Ex:



② Generalisation :

- ⇒ exact opposite of specialisation, generalising the similar properties together.
- ⇒ It's a bottom-up approach.

* ER term model wise (i.e. drawing) Both are same it's just a way of thinking.

③ Attribute Inheritance :

⇒ Both generalisation & specialisation has attribute inheritance. that is attribute of higher level entity is inherited by lower level entity sets.

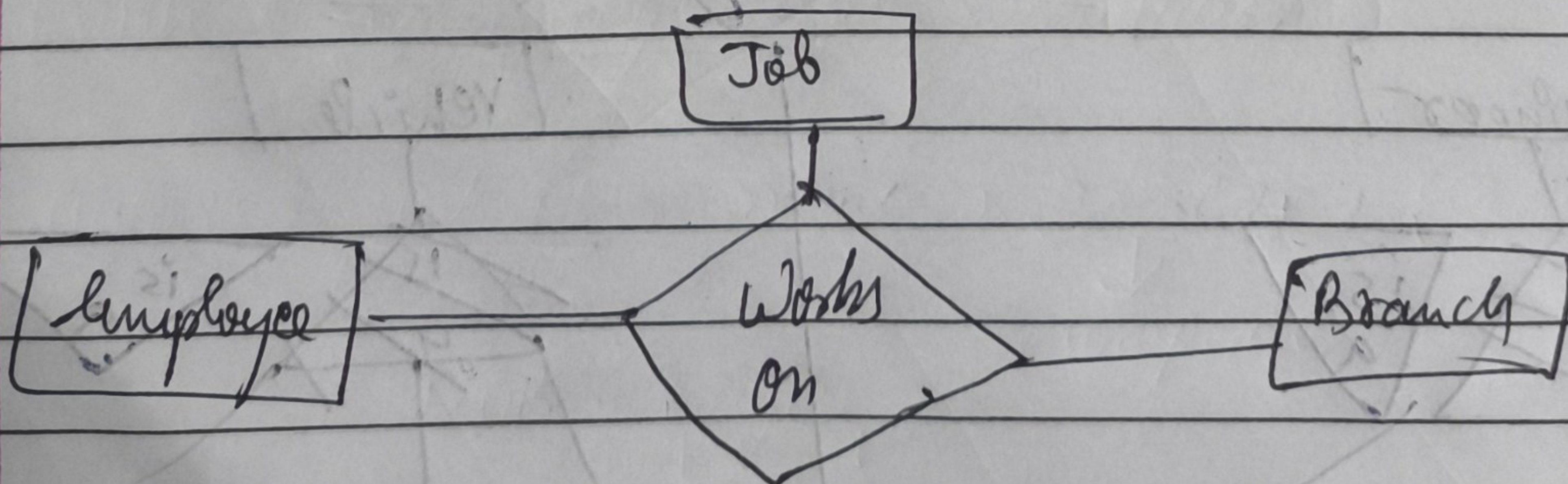
eg: Customer & employee inherit the attributes of person.

④ Participation Inheritance

⇒ If parent entity set is involved in a relationship then its child entity set will also participate in that relationship.

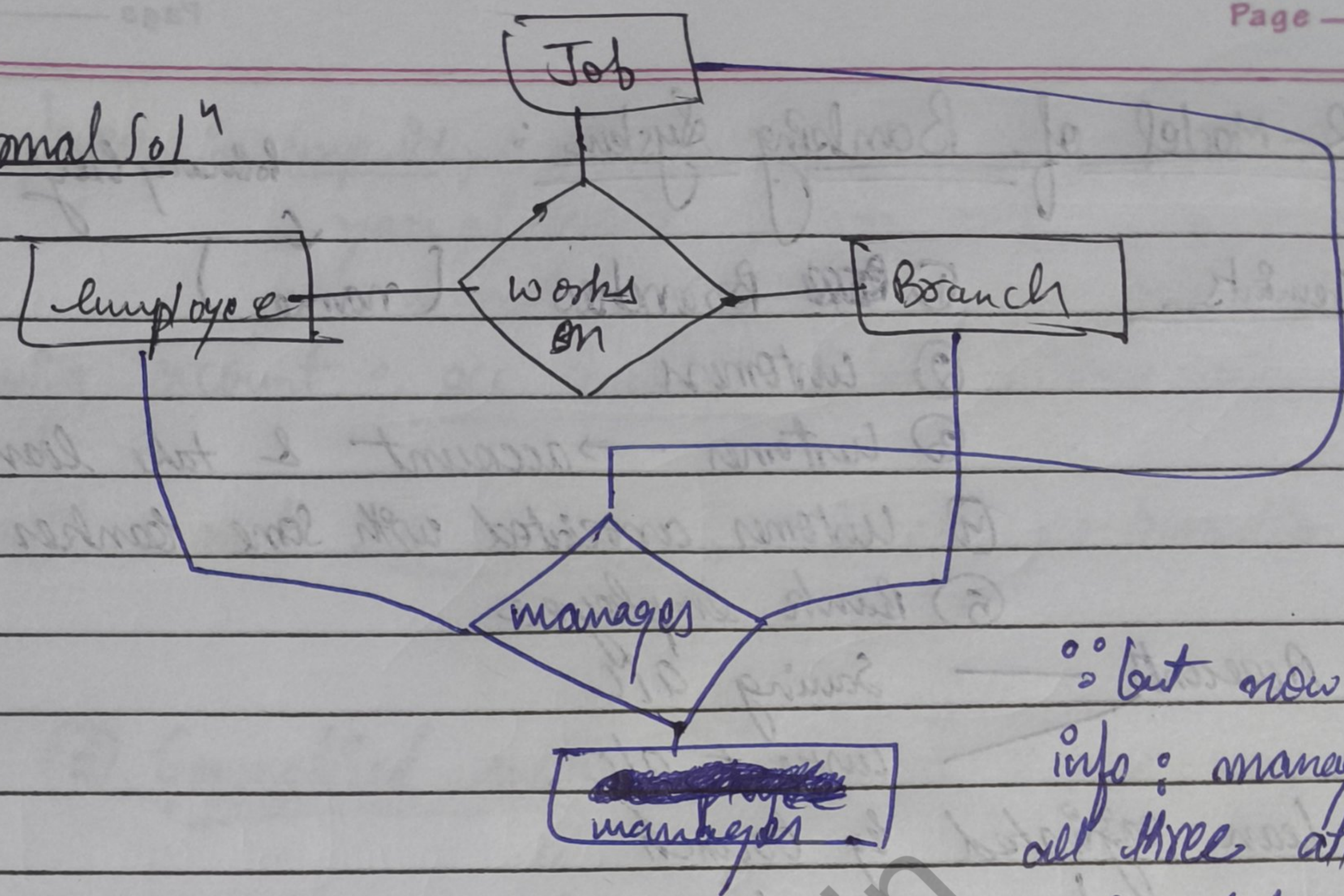
⑤ Aggregation

⇒ How to show relationship b/w relationship? ⇒ using aggregation.



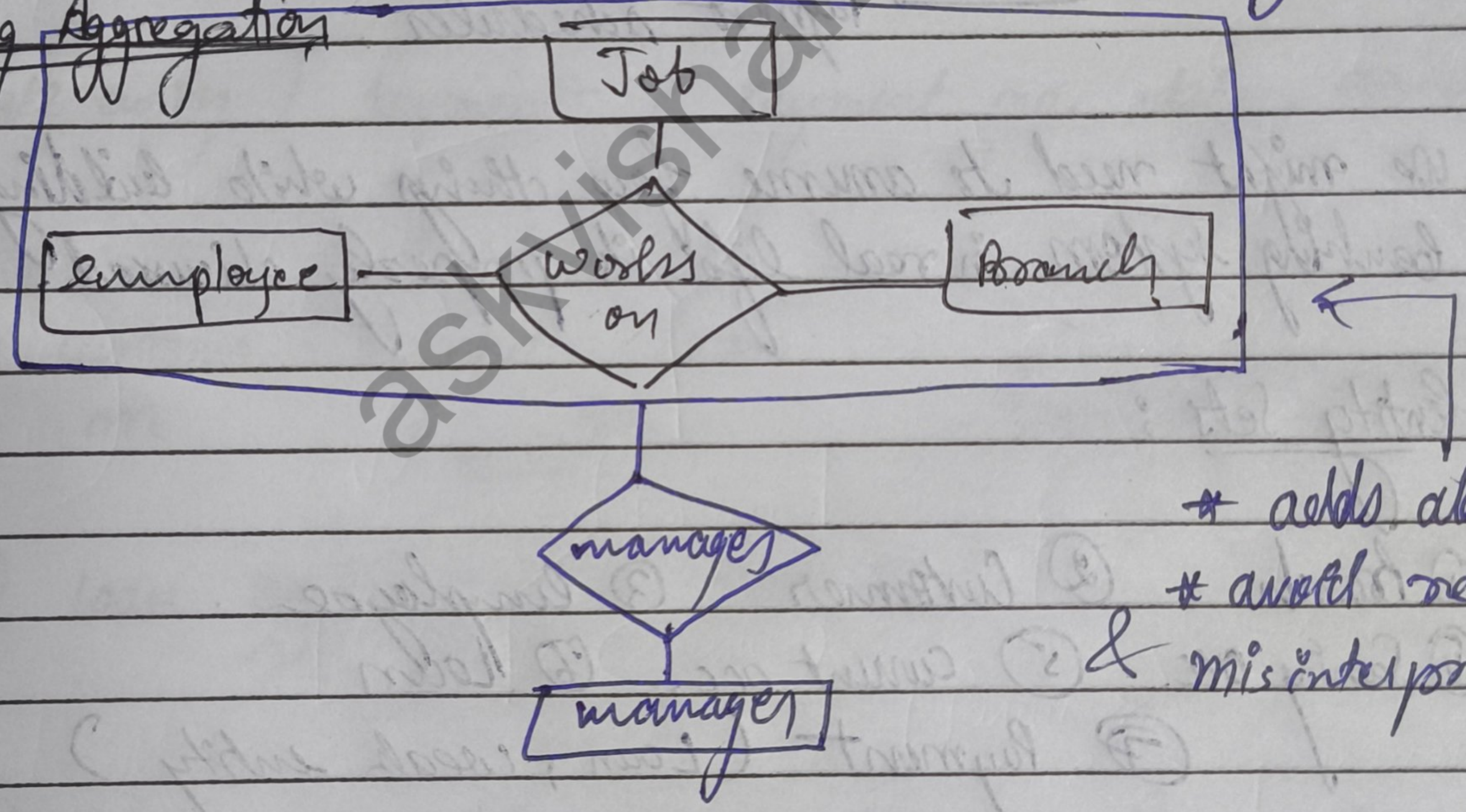
want to show : manager → manages → {emp, branch, job} of a particular person.

Normal Solⁿ



∴ but now redundant info : manager manages all three attribute but separately

Using Aggregation



* adds abstraction.
* avoid redundancy & misinterpretation.

Steps to make ER diagram :

- ① Identify entity sets
- ② Identify attribute & their types.
- ③ Identify relationships & constraints.

→ Mapping
→ Participation

ER - Model of Banking System :

Think of Requirements

- ① ~~Branch~~ Branches (name) primary key
- ② customers
- ③ customer \rightarrow account & take loan
- ④ customer associated with some banker
- ⑤ Bank employee
- ⑥ Accounts \rightarrow Saving acc.
current acc.
- ⑦ Loan originated by branch
 \hookrightarrow Loan ≥ 1 customer
 \hookrightarrow Payment schedules.

+ we might need to assume few things while building banking system, in real life it's properly discussed.

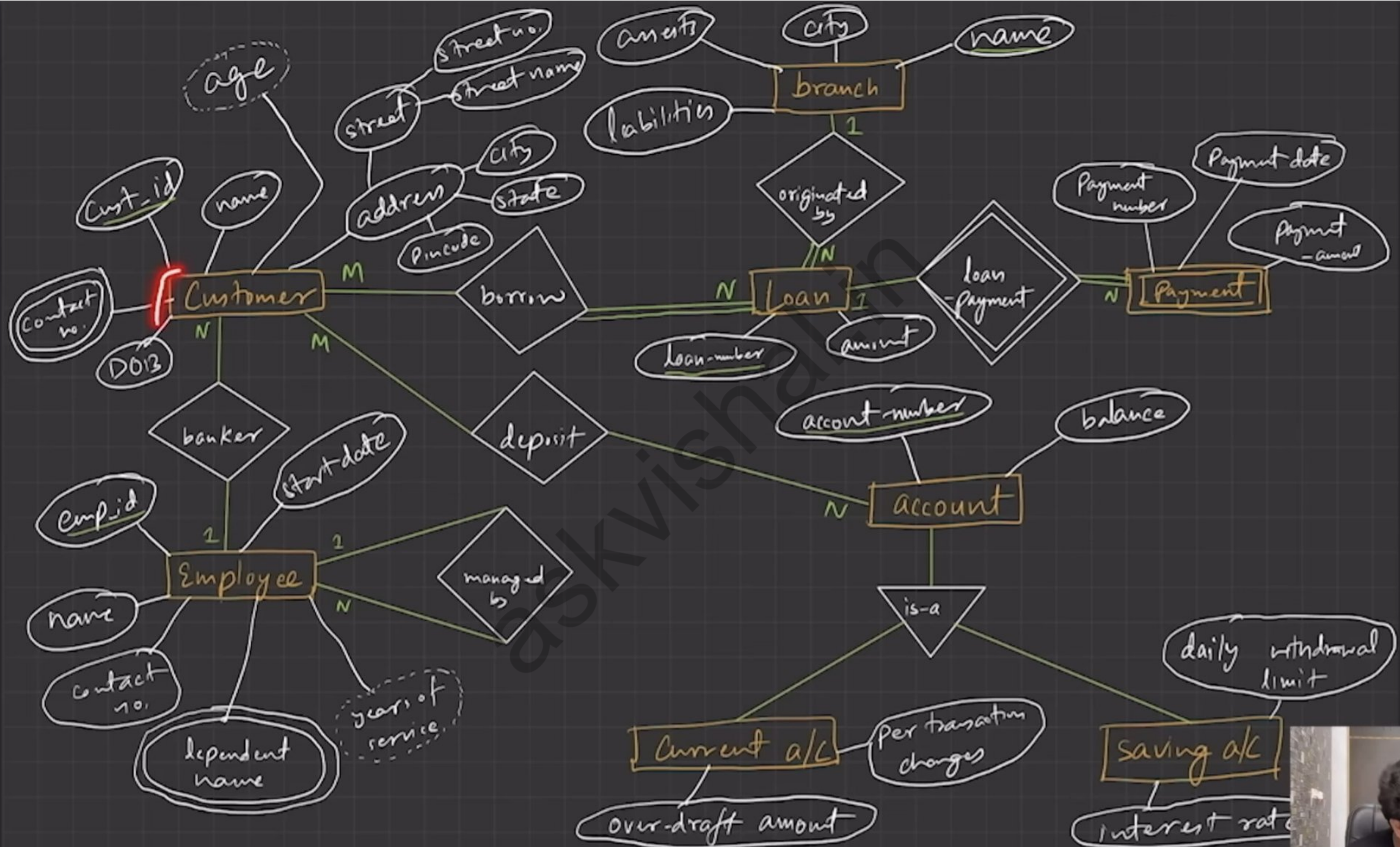
① Entity Sets :

- ① Branch ② Customer ③ Employee
- ④ Saving acc. ⑤ current acc. ⑥ Loan
- ⑦ Payment (Loan, weak entity)

② Attributes

- ① branch : name, city, assets, liabilities
- ② customer : cust-id, name, address, contact no.

\downarrow composite \downarrow multi-valued
 DOB, age
 \downarrow derived



Facebook — DB formulate using ER model

* ER diagram

① Features, & use case.

① profile → user_profiles → friends,

② user can post

③ Post → contains → text content, images, videos.

④ Post → like, comment.



① identify entity sets.

① mer-profile

② mer-post

③ post-comment

④ post-like

② Attributes + types

① mer-profile → Name, username, email, password, contact no.
Composite, DOB, age, multivalued, multivalued.
↓
derived.

② mer-post → post-id, text content, image, video, created timestamp, modified timestamp.
multivalued, multivalued.



③ post-comment \rightarrow post-comment-id, textContent, timestamp

④ post-like \rightarrow postlike-id, timestamp

③ Relⁿ \leftarrow Constants

① user-profile friendship user-profile.
M N

② user-profile posts user-post.
1 N

==



③ user-profile can post-like
1 : N

=

④ user-profile comments post-comment
1 : N

=

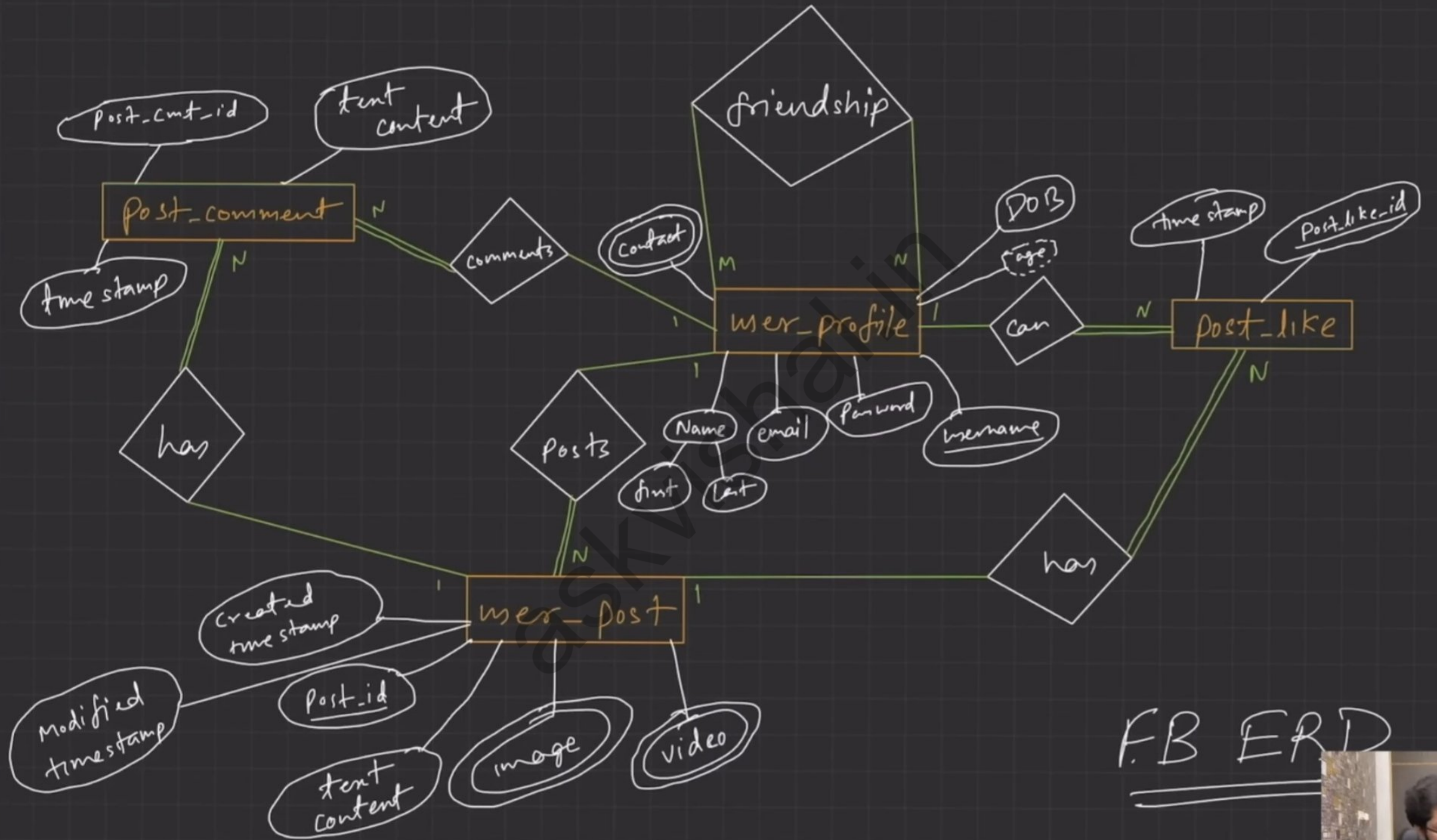
⑤ user-post has post-comment
1 : N

=

⑥ user-post has post-like
1 : N

=





FB ERD

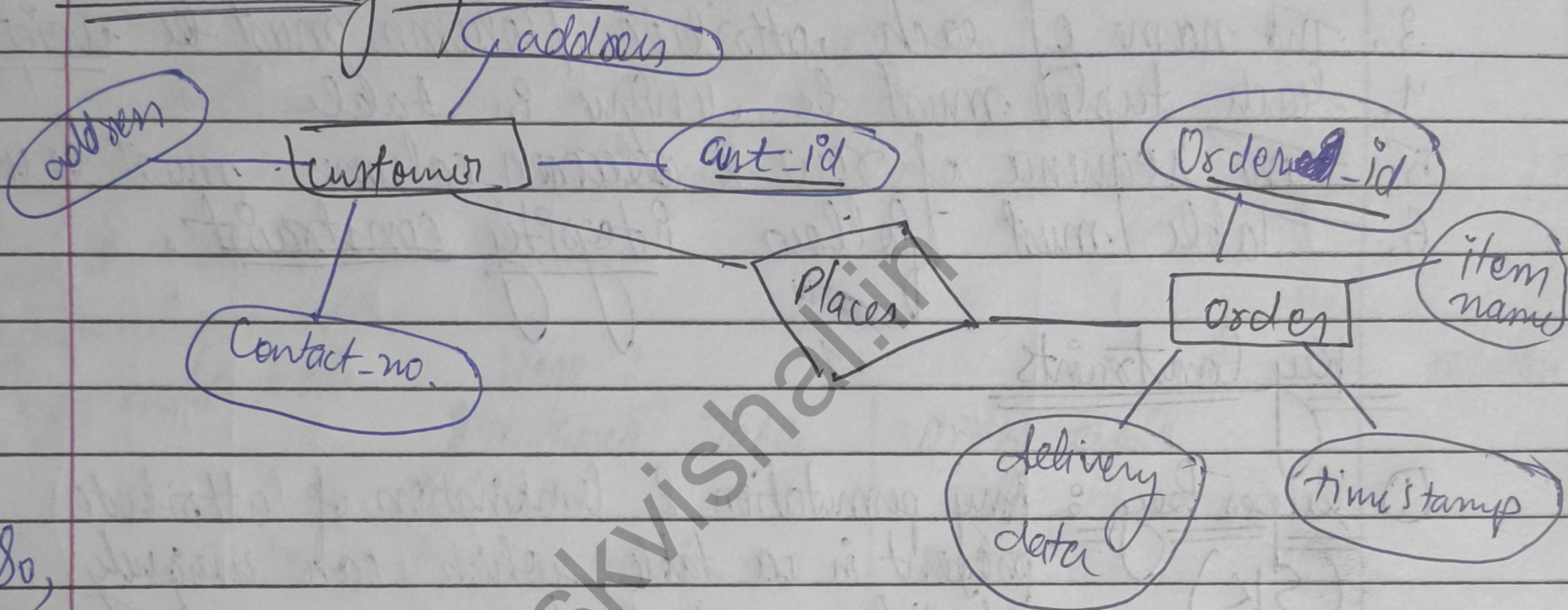


Relational Model

→ The relation is represented in table form where:
 from E-R Model : Relation → Defined by table
 to Relational Model entity → table name
 Attribute → table ~~row~~ rows / tuple

eg:

Online delivery System



So,

- ① Customer (cust_id, name, address, contact no.)
- ② Order (order_id, timestamp, delivery data, item name)

| cust_id | name | address | contact no. |
|---|------|---------|-------------|
| <div style="display: flex; align-items: center;"> <div style="border: 1px solid black; width: 500px; height: 20px; margin-right: 10px;"></div> → Tuple or row </div> | | | |

key attributes

Degree : No. of attributes
 Cardinality : No. of tuples.

DB design has two Steps:

- ① E-R Model → ② Relational Model.

* Software Implementation of relational model is RDBMS.
eg: mySQL, MS Access, Oracle etc.

Important Property of relational table :

1. Name of relation is distinct among all relation.
2. the value must be atomic, can't be broken down further.
3. The name of each attribute / column must be unique.
4. Each tuple must be unique in table.
5. In sequence of row & column must be unique.
6. Table must follow integrity constraint.

Key Constraints

① Super Key (SK) : Any permutation & combination of attributes present in a table which can uniquely identify each tuple.

② ~~Primary Key~~

② Candidate Key (CK) : number of minimum subset of superkey, which can uniquely identify each tuple.

→ It contains no redundant values.

→ CK value should not be null.

③ Primary Key (PK) : selected out of CK set, has the least number of attributes.

④ Alternate Key : all CK except PK
(AK)

⑤ foreign key : It creates relation between two tables
 \Rightarrow Its an attribute or set of attribute that references to primary key of same table or another table.

called
referenced relation
or parent table

Key :

Customer (cust-id, name, address, contact no.)

Order (order-id, timestamp, delivery date, cust-id)

called
referencing relation
or child table

F.K

Here :

to create relation

| Customer Table | Order table |
|---|--|
| PK : cust-id | order-id |
| CK : cust-id, contact-no | order-id |
| AK : contact-no | None |
| SK : {cust-id, contact-no} | {order-id} |
| {cust-id, contact-no} | {order-id, cust-id} |
| {cust-id, address} | {order-id, timestamp} |
| ... etc any superset containing cust-id or contact no for unique identification | ... etc any superset containing order-id |

* Every candidate key is a super key, but vice versa not true.

- ⑥ Composite key : PK formed using atleast 2 attribute
- ⑦ Compound key : PK which is formed using 2 P.K.
- ⑧ Surrogate key : synthetic PK
 → Generated automatically by DB,
 usually int value
 → may be used as PK.

Ex: Table A

| <u>reg no.</u> | name |
|----------------|--------|
| 101 | Ram |
| 102 | Monika |
| 103 | Jata |

| <u>surrogate no.</u> | <u>reg no.</u> | name |
|----------------------|----------------|--------|
| 1 | 101 | Ram |
| 2 | 102 | Monika |
| 3 | 103 | Jata |
| merged 4 | AB101 | Tammy |
| 5 | AB102 | Nota |

Table B

| <u>reg no.</u> | name |
|----------------|-------|
| AB101 | Tammy |
| AB102 | Nota |

→ Because both table have same reg no for a particular tuple but we know candidates ~~names~~ are unique.

Integrity Constraints

DB operations (CRUD) must be done integrity policy so that DB is always consistent.

Introduced so that we do not actually corrupt the DB.

① Domain Constraints:

- ① Restricts the value in the attribute of relation; specifies the domain
- ② Restricts the datatype of every attribute
- Ex: we want to specify that the enrollment should be happen for candidate both years < 2003

```
CREATE TABLE Student (
    student_id INT PRIMARY KEY,
    name VARCHAR(50) NOT NULL,
    birth_year INT
    CHECK (birth_year < 2003);
)
```

* for Notes: Sql queries will be in small letters but for jobs it should be CAPS only.
 ⇒ writing SQL keywords in CAPS is convention not rule.

It is easy to distinguish b/w SQL keywords (CAPS) lowercase (table & columns name). SQL engine completely ignores case of keywords except when we put values in DB!

② Entity Constraints:

- ① Every relation should have PK: $PK \neq NULL$
 → basically tuples should be unique.

③ Referential Constraints:

→ specified between two relations & helps consistency among tuples of two relation!

| customers : | cust_id | Name | add | contact no. |
|---------------------------------------|---------|------|-----|-------------|
| ↓ Parent or referenced table | 1 | Ka2 | --- | --- |
| | 2 | Jao | --- | --- |
| | 3 | dada | --- | --- |

F.K

| Order : | Order id | timestamp | delivery date | cust_id |
|---------------------------------------|----------|-----------|---------------|---------|
| ↓ Child or referencing table | 21 | --- | --- | 1 |
| | 22 | --- | --- | 2 |
| | 23 | --- | --- | 3 |
| | 24 | --- | --- | 4 X |

Can not be deleted

① Insert Constraint : Value can't be inserted in the child table if value is not lying in the parent table.

② Delete Constraint : Value can't be deleted from parent table, if it is present in child table.

On Delete Cascade :

Ques : Can we delete a value from parent table if the value is present in child table w/o violating delete constraint.

Ans : Yes → delete value from parent → delete corresponding value from child table to
called on delete cascade

follow up question: . . .

Can FK value be Null?

On delete Null : Rather than completely deleting the entry in F:K we can mention Null.

④ Key Constraints :

① Not Null : by default a column/attribute can be NULL.
(so, → enforce Not Null)

eg: create table cust (
ID int not null,
name varchar(50) not null,
age int

② Unique constraints : ensure all values in col are diff.
- Both unique & P:K constraint provide uniqueness.
- you may have many unique constraint per table but only one P:K constraint per table.

eg: create table cust (
ID int not null,
name varchar(50) not null,
unique (ID)

③ Default constraint : set ~~default~~ default value of col.

eg: create table customer (
prime status int default 0,
);

④ check : - limit of value range.

eg: _____
CHECK (age >= 18)
);

⑤ Primary key : uniquely identify each tuple
P.K. \neq NULL

eg: _____
PRIMARY KEY (ID)
);

⑥ foreign key : keeps relation between two tables.

eg: create table order (
PRIMARY KEY (order_id),
FOREIGN KEY (cust_id)
REFERENCES customer(cust_id)
);

LEC-8: Transform - ER Model to Relational Model

- Both **ER-Model** and **Relational Model** are abstract logical representation of real world enterprises. Because the two models implies the similar design principles, **we can convert ER design into Relational design**.
- Converting a DB representation from an ER diagram to a table format is the way we arrive at Relational DB-design from an ER diagram.
- ER diagram **notations to relations**:
 - Strong Entity**
 - Becomes an **individual table** with entity name, attributes becomes columns of the relation.
 - Entity's Primary Key (PK) is used as Relation's PK.
 - FK** are added to establish relationships with other relations.
 - Weak Entity**
 - A table is formed with all the attributes of the entity.
 - PK of its corresponding Strong Entity will be added as **FK**.
 - PK** of the relation will be a composite PK, {FK + Partial discriminator Key}.
 - Single Values Attributes**
 - Represented as **columns** directly in the tables/relations.
 - Composite Attributes**
 - Handled by **creating a separate attribute** itself in the original relation for each composite attribute.
 - e.g., **Address**: {street-name, house-no}, is a composite attribute in customer relation, we add address-street-name & address-house-name as new columns in the attribute and ignore "address" as an attribute.
 - Multivalued Attributes**
 - New tables** (named as original attribute name) are created for each multivalued attribute.
 - PK of the entity is used as column **FK** in the new table.
 - Multivalued attribute's similar name is added as a column to define multiple values.
 - PK** of the new table would be {FK + multivalued name}.
 - e.g., For Strong entity **Employee**, **dependent-name** is a multivalued attribute.
 - New table named dependent-name will be formed with columns emp-id, and dname.
 - PK: {emp-id, name}
 - FK: {emp-id}
 - Derived Attributes**: Not considered in the tables.
 - Generalisation**
 - Method-1**: Create a table for the higher level entity set. For each lower-level entity set, create a table that includes a column for each of the attributes of that entity set plus a column for each attribute of the primary key of the higher-level entity set.
For e.g., Banking System generalisation of Account - saving & current.
 - Table 1: account (account-number, balance)
 - Table 2: savings-account (account-number, interest-rate, daily-withdrawal-limit)
 - Table 3: current-account (account-number, overdraft-amount, per-transaction-charges)
 - Method-2**: An alternative representation is possible, if the generalisation is disjoint and complete—that is, if no entity is a member of two lower-level entity sets directly below a higher-level entity set, and if every entity in the higher level entity set is also a member of one of the lower-level entity sets. Here, do not create a table for the higher-level entity set. Instead, for each lower-level entity set, create a table that includes a column for each of the attributes of that entity set plus a column for each attribute of the higher-level entity sets.
Tables would be:
 - Table 1: savings-account (account-number, balance, interest-rate, daily-withdrawal-limit)
 - Table 2: current-account (account-number, balance, overdraft-amount, per-transaction-charges)
 - Drawbacks of Method-2**: If the second method were used for an overlapping generalisation, some values such as balance would be stored twice unnecessarily. Similarly, if the generalisation were not complete—that is, if some accounts were neither savings nor current accounts—then such accounts could not be represented with the second method.
 - Aggregation**
 - Table of the relationship set is made.
 - Attributes includes primary keys of entity set and aggregation set's entities.
 - Also, add descriptive attribute if any on the relationship.

Lec-8

* Transformation from ER model to Relational model.

Loan \rightarrow

| | |
|-------------|--------|
| Loan-number | amount |
|-------------|--------|

Weak Entity Payment \Rightarrow

P.K

P.K

| | | | |
|--------------------|-------------|--------------|----------------|
| <u>Loan-number</u> | Payment no. | Payment-date | Payment-amount |
|--------------------|-------------|--------------|----------------|



* Composite attribute $\rightarrow \rightarrow$ sep. attribute for each component.

Customer table

| | | | | |
|---------------|--------------|-----------|-------------|---------------|
| Customer-name | address-city | add-state | add-pincode | add-streetno. |
|---------------|--------------|-----------|-------------|---------------|

} add-streetname

* Multi-value attribute

F.K

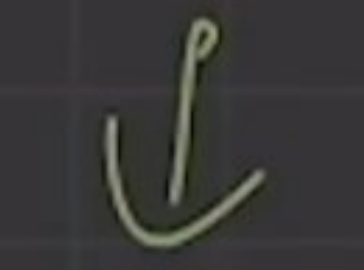


| | |
|--------|-------|
| emp-id | dname |
|--------|-------|

dependent-name

-new relation.

{ emp-id, dname }



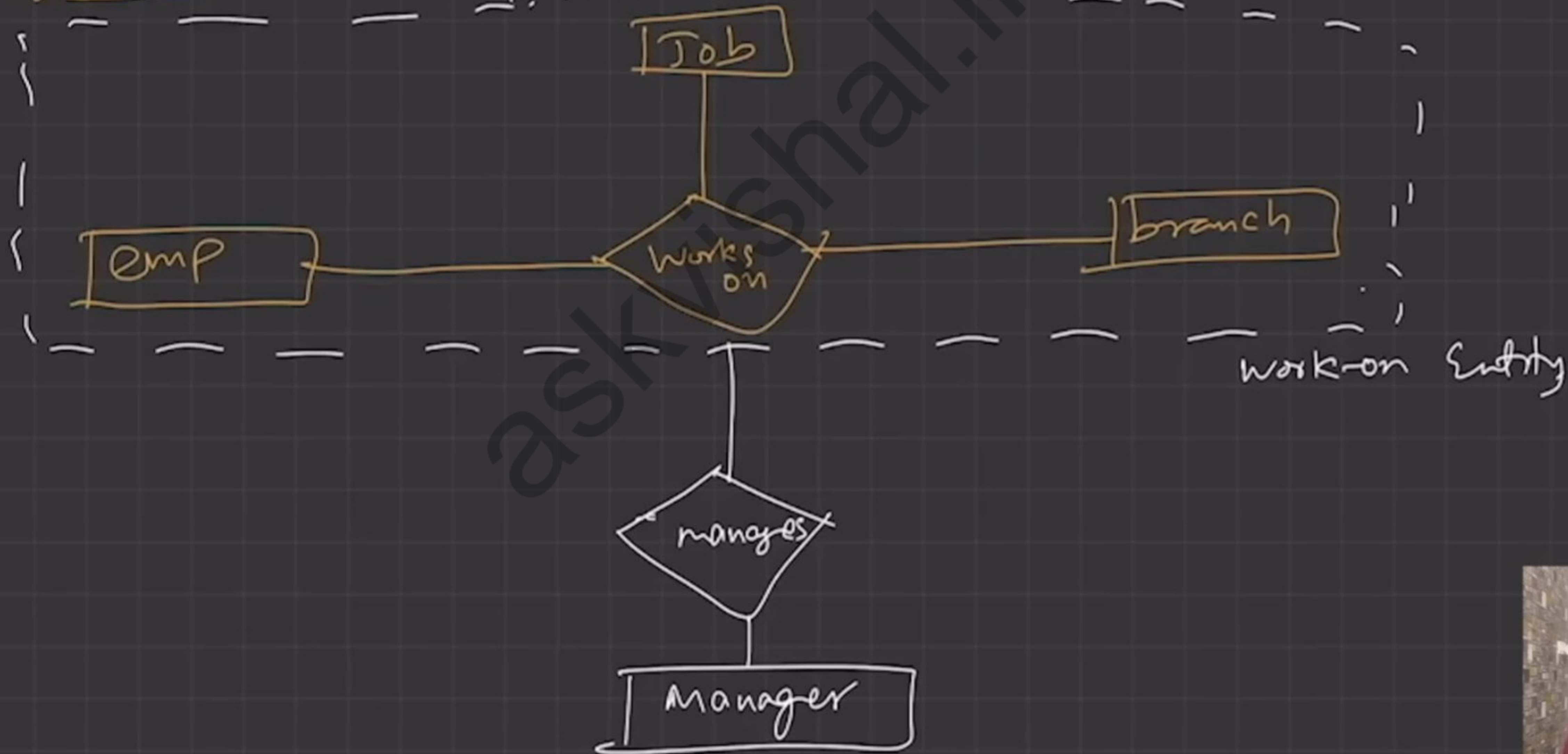
P.K



* Generalization

Method 1

* Aggregation →



* Aggregation →

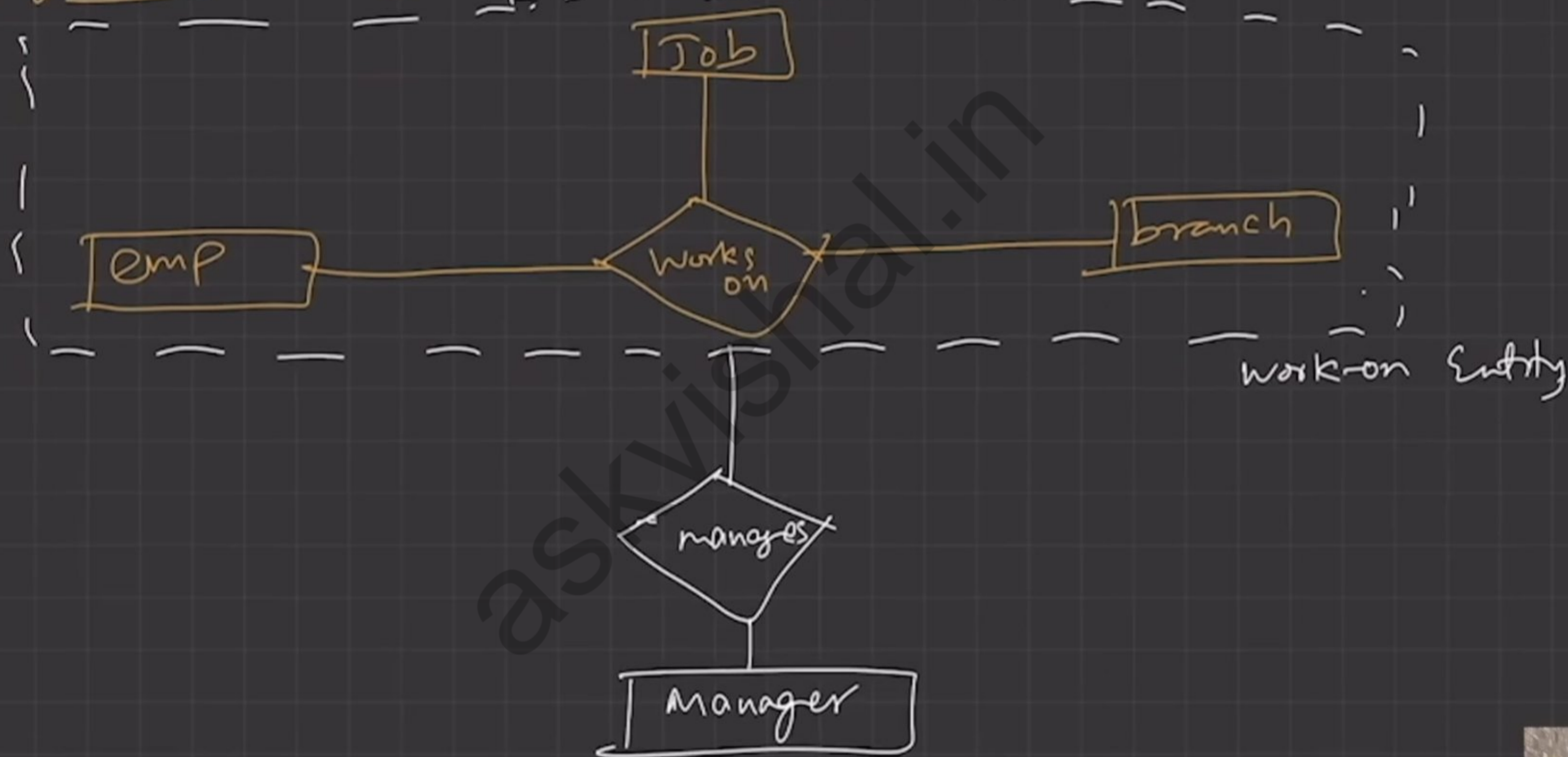


Table 'manages' (mgr-id, emp-id, job-id, branch-id)



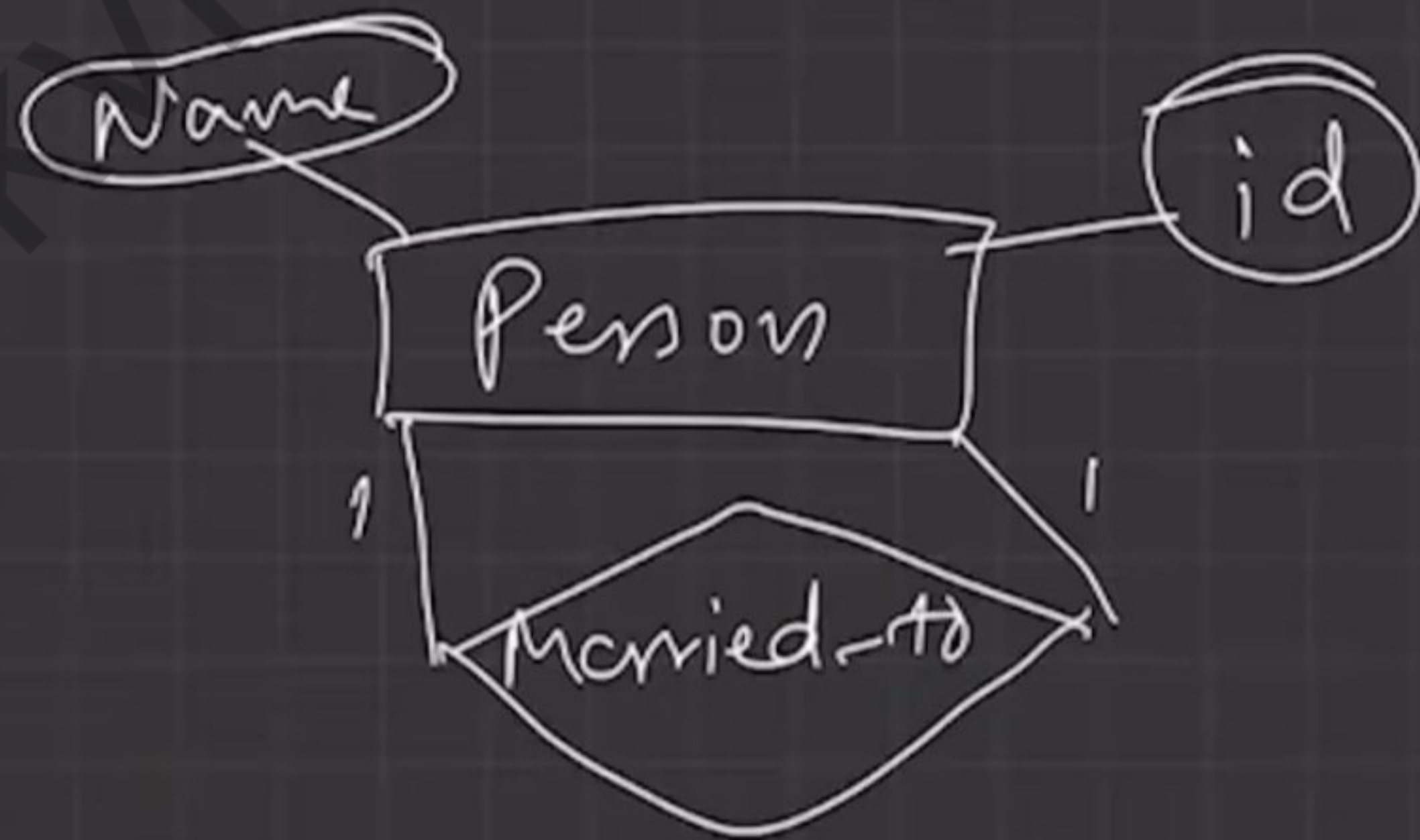
* Unary Relationship :-

we will add another attribute in Employee table, which will be F.K.



| Emp-id | name | joining date | Emp_mgr-id |
|--------|------|--------------|------------|
| 201 | --- | --- | 205 |
| 202 | --- | --- | 205 |
| 205 | --- | --- | Null. |

* 1:1 →



Person(id, Name, spouse_id)
{ F.K }



* M:N \Rightarrow

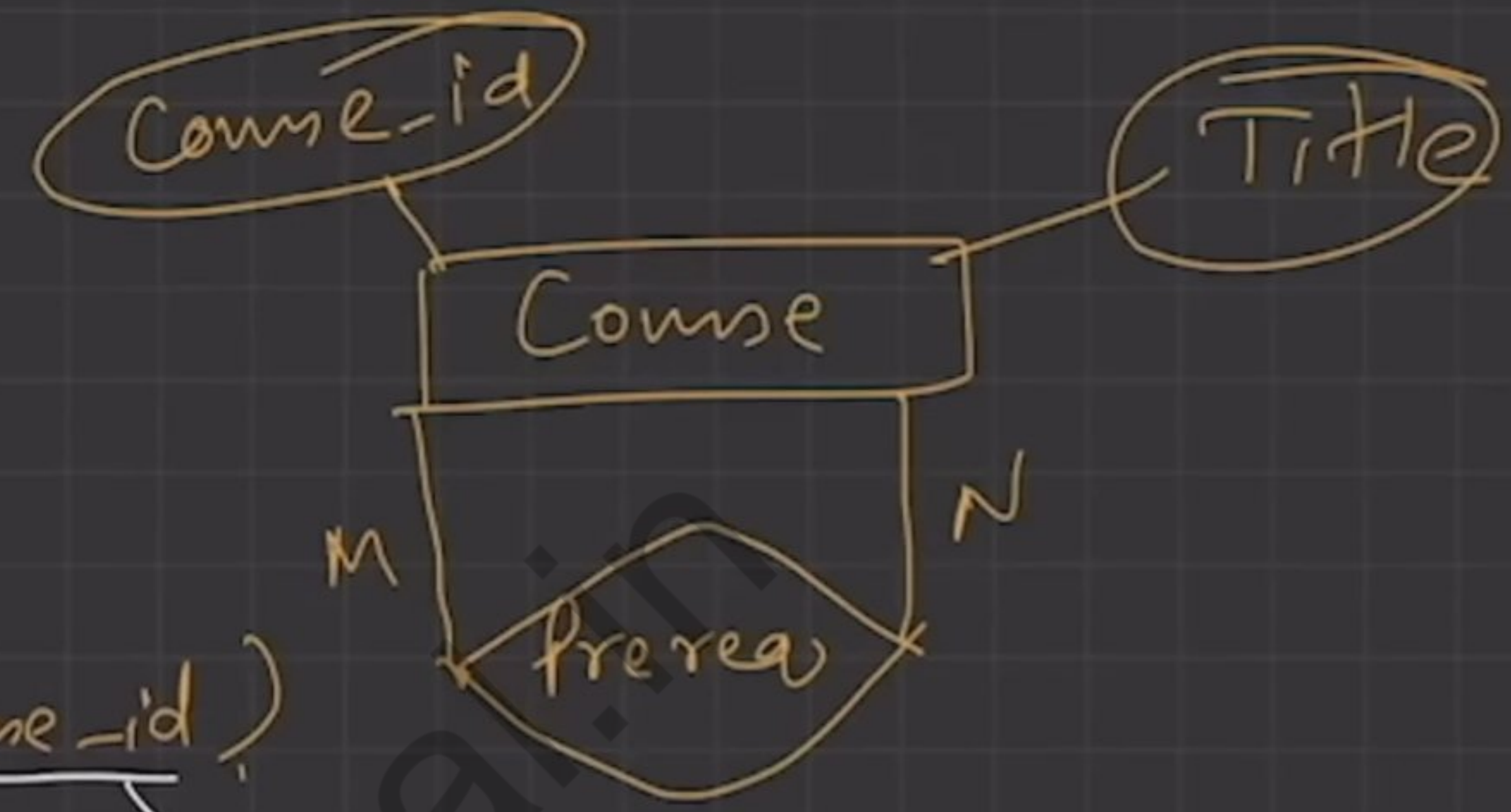
① Course (id \rightarrow Title)

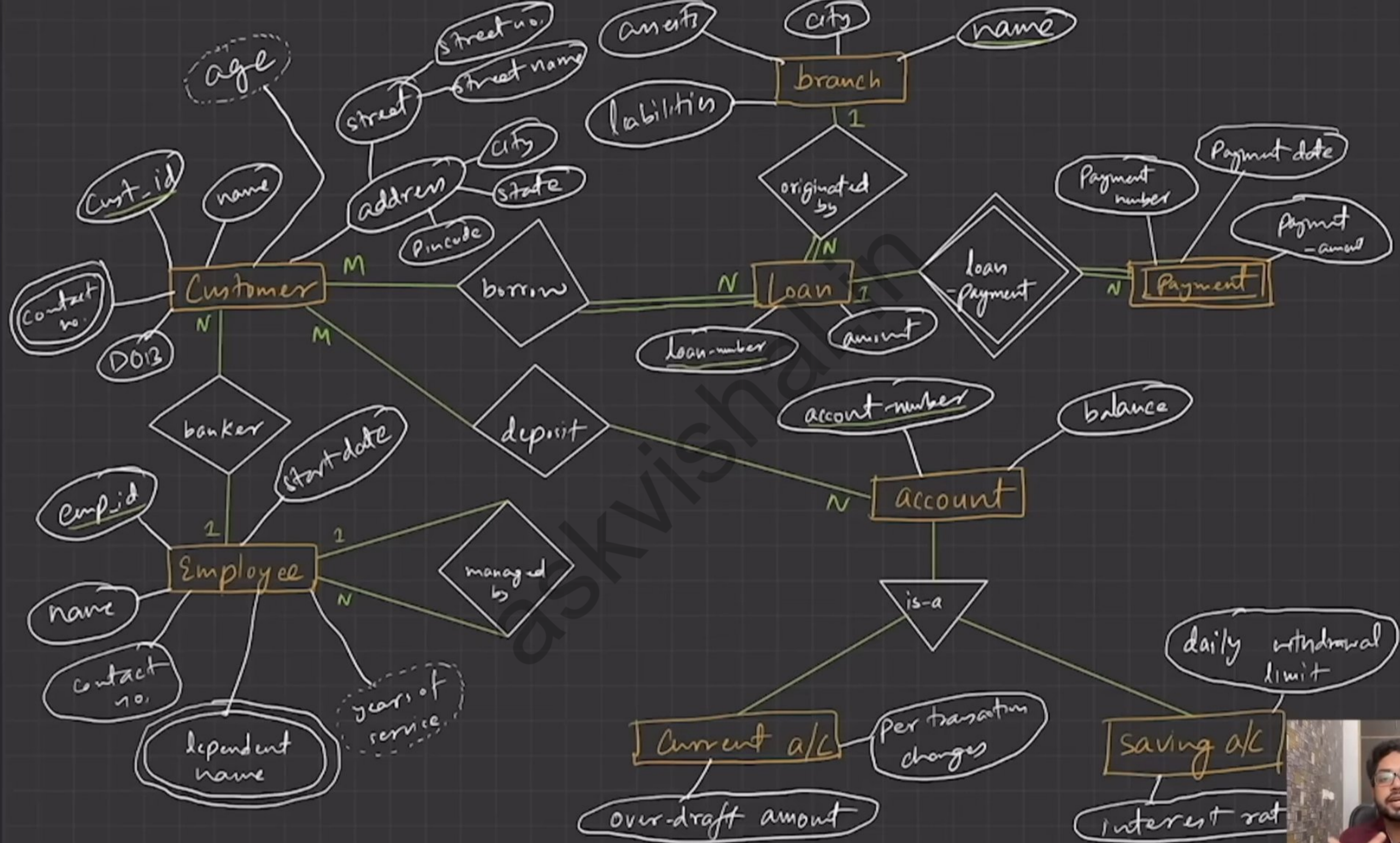
② prereq (id, prereq, course_id)

\downarrow
{FK}

\downarrow
{FK}

\rightarrow P.K

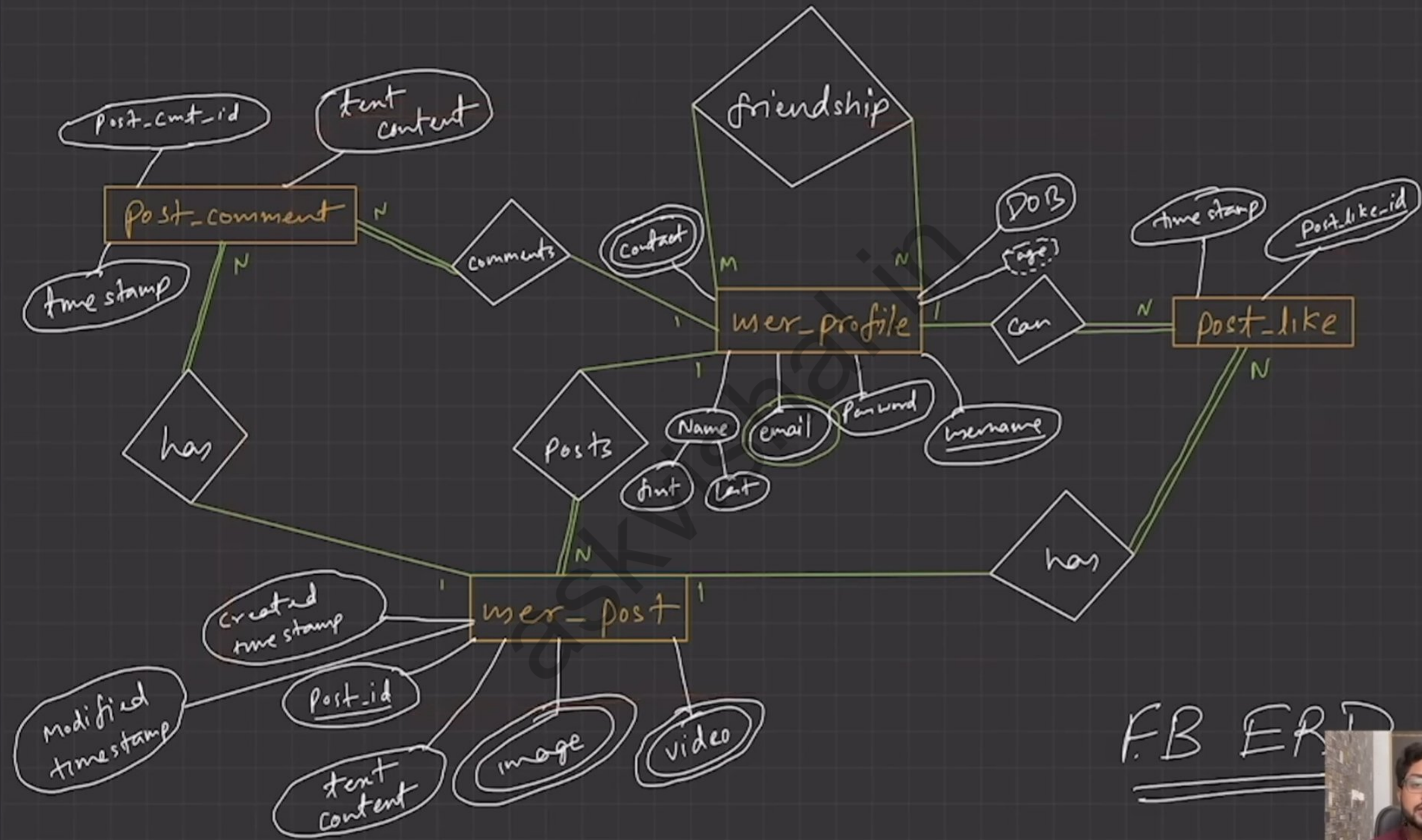




* FB Relational Model

- (1) user_profile (username, name-first, name-last, password, DOB)
- (2) user-profile-email (username {F.K}, email)
- (3) user-profile-contact (username {f.k}, contact-number)
- (4) friendship (profile_req {f.k}, profile_accept {f.k}) \longrightarrow Compound key
- (5) post-like (post-like-id, timestamp, post-id {f.k}, username {f.k})
- (6) user-post (post-id, created-timestamp, modified-timestamp, text-content, username {f.k})
- (7) user-post-image (post-id {f.k}, image-url)
- (8) user-post-video (post-id {F.k}, video-url)
- (9) post-comment (post-comment-id, text-content, timestamp, post-id {f.k}, username {f.k})





FB ERD



LEC-9: SQL in 1-Video

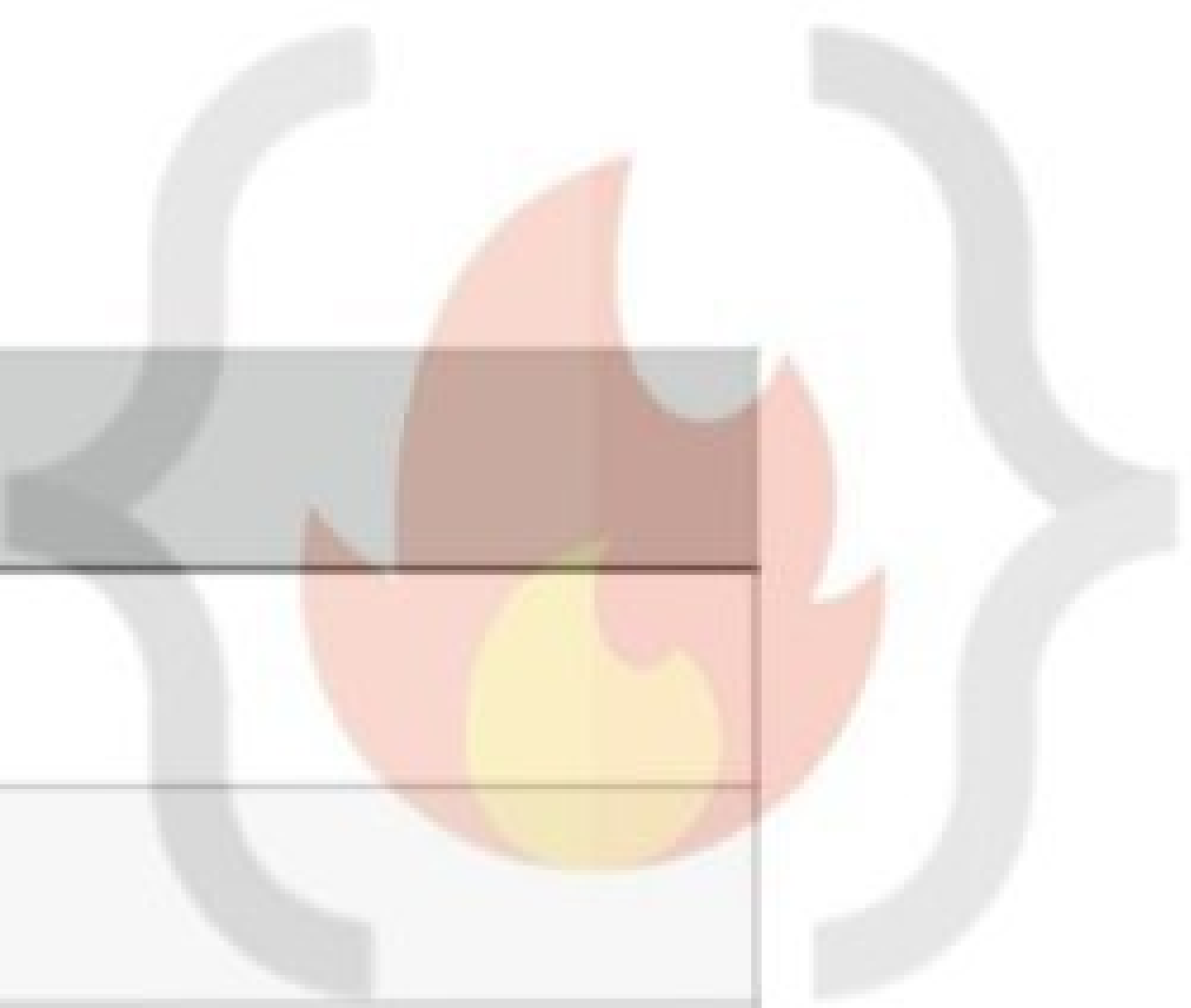


1. **SQL**: Structured Query Language, used to access and manipulate data.
2. SQL used **CRUD** operations to communicate with DB.
 1. **CREATE** - execute INSERT statements to insert new tuple into the relation.
 2. **READ** - Read data already in the relations.
 3. **UPDATE** - Modify already inserted data in the relation.
 4. **DELETE** - Delete specific data point/tuple/row or multiple rows.
3. **SQL is not DB, is a query language.**
4. What is **RDBMS**? (Relational Database Management System)
 1. Software that enable us to implement designed relational model.
 2. e.g., MySQL, MS SQL, Oracle, IBM etc.
 3. Table/Relation is the simplest form of data storage object in R-DB.
 4. **MySQL** is open-source RDBMS, and it uses SQL for all CRUD operations
5. **MySQL** used client-server model, where client is CLI or frontend that used services provided by MySQL server.
6. **Difference between SQL and MySQL**
 1. SQL is Structured Query language used to perform CRUD operations in R-DB, while MySQL is a RDBMS used to store, manage and administrate DB (provided by itself) using SQL.

SQL DATA TYPES (Ref: https://www.w3schools.com/sql/sql_datatypes.asp)

1. In SQL DB, data is stored in the form of tables.
2. Data can be of different types, like INT, CHAR etc.

| DATATYPE | Description |
|------------|---|
| CHAR | string(0-255), string with size = (0, 255], e.g., CHAR(251) |
| VARCHAR | string(0-255) |
| TINYTEXT | String(0-255) |
| TEXT | string(0-65535) |
| BLOB | string(0-65535) |
| MEDIUMTEXT | string(0-16777215) |
| MEDIUMBLOB | string(0-16777215) |
| LONGTEXT | string(0-4294967295) |
| LOBLOB | string(0-4294967295) |
| TINYINT | integer(-128 to 127) |
| SMALLINT | integer(-32768 to 32767) |
| MEDIUMINT | integer(-8388608 to 8388607) |
| INT | integer(-2147483648 to 2147483647) |
| BIGINT | integer (-9223372036854775808 to 9223372036854775807) |
| FLOAT | Decimal with precision to 23 digits |
| DOUBLE | Decimal with 24 to 53 digits |



| DATATYPE | Description |
|-----------|--|
| DECIMAL | Double stored as string |
| DATE | YYYY-MM-DD |
| DATETIME | YYYY-MM-DD HH:MM:SS |
| TIMESTAMP | YYYYMMDDHHMMSS |
| TIME | HH:MM:SS |
| ENUM | One of the preset values |
| SET | One or many of the preset values |
| BOOLEAN | 0/1 |
| BIT | e.g., BIT(n), n upto 64, store values in bits. |

3. Size: TINY < SMALL < MEDIUM < INT < BIGINT.
4. **Variable length Data types** e.g., VARCHAR, are better to use as they occupy space equal to the actual data size.
5. Values can also be unsigned e.g., INT UNSIGNED.
6. **Types of SQL commands:**
 1. **DDL** (data definition language): defining relation schema.
 1. **CREATE**: create table, DB, view.
 2. **ALTER TABLE**: modification in table structure. e.g, change column datatype or add/remove columns.
 3. **DROP**: delete table, DB, view.
 4. **TRUNCATE**: remove all the tuples from the table.
 5. **RENAME**: rename DB name, table name, column name etc.
 2. **DRL/DQL** (data retrieval language / data query language): retrieve data from the tables.
 1. **SELECT**
 3. **DML** (data modification language): use to perform modifications in the DB
 1. **INSERT**: insert data into a relation
 2. **UPDATE**: update relation data.
 3. **DELETE**: delete row(s) from the relation.
 4. **DCL** (Data Control language): grant or revoke authorities from user.
 1. **GRANT**: access privileges to the DB
 2. **REVOKE**: revoke user access privileges.
 5. **TCL** (Transaction control language): to manage transactions done in the DB
 1. **START TRANSACTION**: begin a transaction
 2. **COMMIT**: apply all the changes and end transaction
 3. **ROLLBACK**: discard changes and end transaction
 4. **SAVEPOINT**: checkout within the group of transactions in which to rollback.

MANAGING DB (DDL)

1. **Creation of DB**
 1. **CREATE DATABASE IF NOT EXISTS db-name;**
 2. **USE db-name;** //need to execute to choose on which DB CREATE TABLE etc commands will be executed.
//make switching between DBs possible.
 3. **DROP DATABASE IF EXISTS db-name;** //dropping database.
 4. **SHOW DATABASES;** //list all the DBs in the server.
 5. **SHOW TABLES;** //list tables in the selected DB.



DATA RETRIEVAL LANGUAGE (DRL)

1. Syntax: `SELECT <set of column names> FROM <table_name>;`
2. Order of execution from RIGHT to LEFT.
3. Q. Can we use SELECT keyword without using FROM clause?
 1. Yes, using DUAL Tables.
 2. Dual tables are dummy tables created by MySQL, help users to do certain obvious actions without referring to user defined tables.
 3. e.g., `SELECT 55 + 11;`
`SELECT now();`
`SELECT ucase();` etc.
4. **WHERE**
 1. Reduce rows based on given conditions.
 2. E.g., `SELECT * FROM customer WHERE age > 18;`
5. **BETWEEN**
 1. `SELECT * FROM customer WHERE age between 0 AND 100;`
 2. In the above e.g., 0 and 100 are inclusive.
6. **IN**
 1. Reduces **OR** conditions;
 2. e.g., `SELECT * FROM officers WHERE officer_name IN ('Lakshay', 'Maharana Pratap', 'Deepika');`
7. **AND/OR/NOT**
 1. **AND**: `WHERE cond1 AND cond2`
 2. **OR**: `WHERE cond1 OR cond2`
 3. **NOT**: `WHERE col_name NOT IN (1,2,3,4);`
8. **IS NULL**
 1. e.g., `SELECT * FROM customer WHERE prime_status is NULL;`
9. **Pattern Searching / Wildcard ('%', '_')**
 1. '%', any number of character from 0 to n. Similar to '*' asterisk in regex.
 2. '_', only one character.
 3. `SELECT * FROM customer WHERE name LIKE '%p_';`
10. **ORDER BY**
 1. Sorting the data retrieved using **WHERE** clause.
 2. `ORDER BY <column-name> DESC;`
 3. DESC = Descending and ASC = Ascending
 4. e.g., `SELECT * FROM customer ORDER BY name DESC;`
11. **GROUP BY**
 1. GROUP BY Clause is used to collect data from multiple records and group the result by one or more column. It is generally used in a SELECT statement.
 2. Groups into category based on column given.
 3. `SELECT c1, c2, c3 FROM sample_table WHERE cond GROUP BY c1, c2, c3.`
 4. All the column names mentioned after SELECT statement shall be repeated in GROUP BY, in order to successfully execute the query.
 5. Used with aggregation functions to perform various actions.
 1. `COUNT()`
 2. `SUM()`
 3. `AVG()`
 4. `MIN()`
 5. `MAX()`
12. **DISTINCT**
 1. Find distinct values in the table.
 2. `SELECT DISTINCT(col_name) FROM table_name;`
 3. GROUP BY can also be used for the same
 1. "Select col_name from table GROUP BY col_name;" same output as above DISTINCT query.

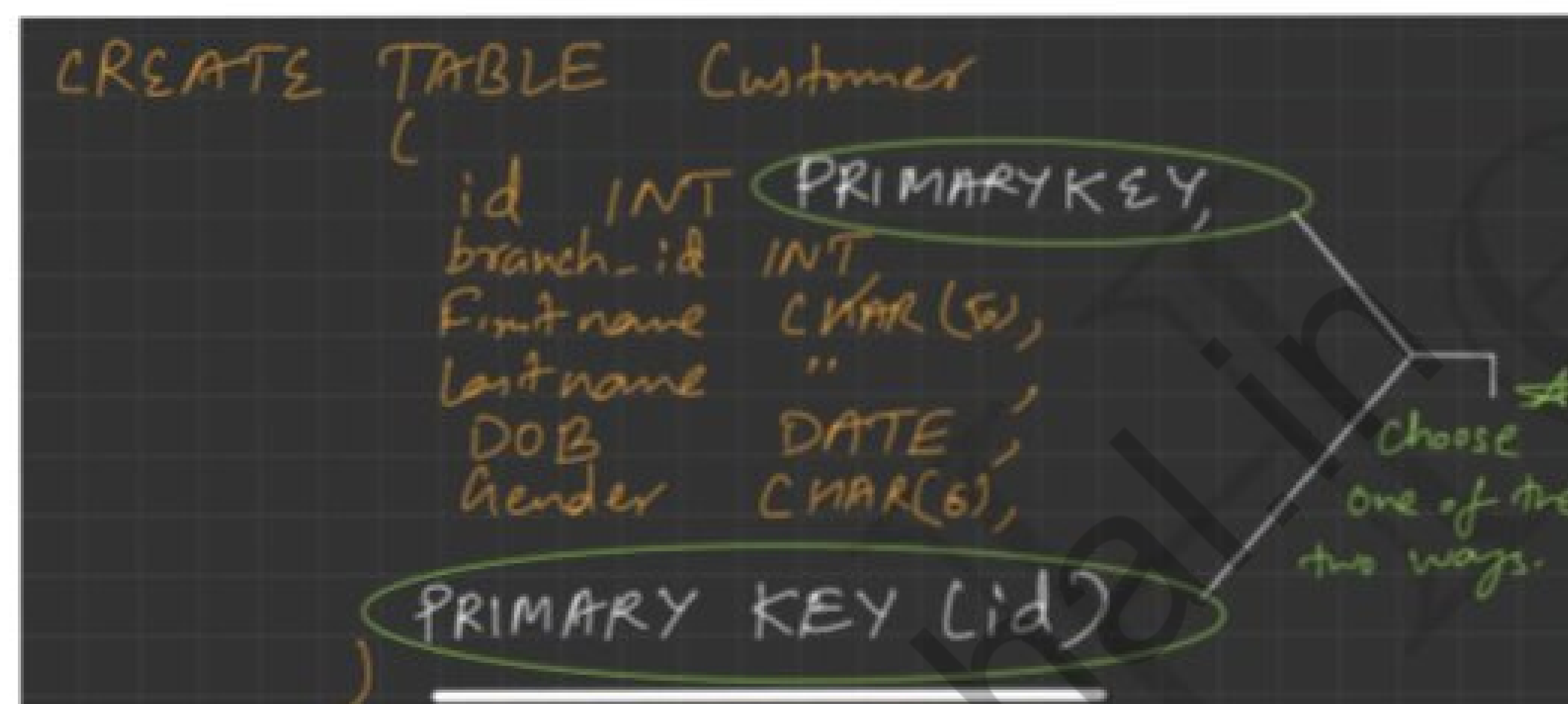
2. SQL is smart enough to realise that if you are using GROUP BY and not using any aggregation function, then you mean "DISTINCT".

13. GROUP BY HAVING

1. Out of the categories made by GROUP BY, we would like to know only particular thing (cond).
2. Similar to WHERE.
3. Select COUNT(cust_id), country from customer GROUP BY country HAVING COUNT(cust_id) > 50;
4. WHERE vs HAVING
 1. Both have same function of filtering the row base on certain conditions.
 2. WHERE clause is used to filter the rows from the table based on specified condition
 3. HAVING clause is used to filter the rows from the groups based on the specified condition.
 4. HAVING is used after GROUP BY while WHERE is used before GROUP BY clause.
 5. If you are using HAVING, GROUP BY is necessary.
 6. WHERE can be used with SELECT, UPDATE & DELETE keywords while GROUP BY used with SELECT.

CONSTRAINTS (DDL)

1. Primary Key



```
CREATE TABLE Customer
(
  id INT PRIMARY KEY,
  branch_id INT,
  Firstname CHAR(5),
  Lastname " ",
  DOB DATE,
  Gender CHAR(5),
  PRIMARY KEY (id)
);
```

Handwritten notes on the image: "PRIMARY KEY," is circled in green. "PRIMARY KEY (id)" is circled in green. A bracket points from the circled "PRIMARY KEY (id)" to the text "Choose one of the two ways."

1. PK is not null, unique and only one per table.

2. Foreign Key

1. FK refers to PK of other table.
2. Each relation can having any number of FK.
3. CREATE TABLE ORDER (

id INT PRIMARY KEY,

delivery_date DATE,

order_placed_date DATE,

cust_id INT,

FOREIGN KEY (cust_id) REFERENCES customer(id)

);

3. UNIQUE

1. Unique, can be null, table can have multiple unique attributes.
2. CREATE TABLE customer (

...

email VARCHAR(1024) UNIQUE,

...

);

4. CHECK

1. CREATE TABLE customer (

...

CONSTRAINT age_check CHECK (age > 12),

...

);
2. "age_check", can also avoid this, MySQL generates name of constraint automatically.



5. DEFAULT

1. Set default value of the column.
2. CREATE TABLE account (
...
savings-rate DOUBLE NOT NULL DEFAULT 4.25,
...
);

6. An attribute can be **PK and FK both** in a table.

7. ALTER OPERATIONS

1. Changes schema

2. ADD

1. Add new column.
2. ALTER TABLE table_name ADD new_col_name datatype ADD new_col_name_2 datatype;
3. e.g., ALTER TABLE customer ADD age INT NOT NULL;

3. MODIFY

1. Change datatype of an attribute.
2. ALTER TABLE table-name MODIFY col-name col-datatype;
3. E.g., VARCHAR TO CHAR
ALTER TABLE customer MODIFY name CHAR(1024);

4. CHANGE COLUMN

1. Rename column name.
2. ALTER TABLE table-name CHANGE COLUMN old-col-name new-col-name new-col-datatype;
3. e.g., ALTER TABLE customer CHANGE COLUMN name customer-name VARCHAR(1024);

5. DROP COLUMN

1. Drop a column completely.
2. ALTER TABLE table-name DROP COLUMN col-name;
3. e.g., ALTER TABLE customer DROP COLUMN middle-name;

6. RENAME

1. Rename table name itself.
2. ALTER TABLE table-name RENAME TO new-table-name;
3. e.g., ALTER TABLE customer RENAME TO customer-details;

DATA MANIPULATION LANGUAGE (DML)

1. INSERT

1. INSERT INTO table-name(col1, col2, col3) VALUES (v1, v2, v3), (val1, val2, val3);

2. UPDATE

1. UPDATE table-name SET col1 = 1, col2 = 'abc' WHERE id = 1;
2. Update multiple rows e.g.,
 1. UPDATE student SET standard = standard + 1;

3. ON UPDATE CASCADE

1. Can be added to the table while creating constraints. Suppose there is a situation where we have two tables such that primary key of one table is the foreign key for another table. if we update the primary key of the first table then using the ON UPDATE CASCADE foreign key of the second table automatically get updated.

3. DELETE

1. DELETE FROM table-name WHERE id = 1;
2. DELETE FROM table-name; //all rows will be deleted.
3. **DELETE CASCADE - (to overcome DELETE constraint of Referential constraints)**
 1. What would happen to child entry if parent table's entry is deleted?
 2. CREATE TABLE ORDER (
order_id int PRIMARY KEY,
delivery_date DATE,
cust_id INT,



```
FOREIGN KEY(cust_id) REFERENCES customer(id) ON DELETE CASCADE
);
```

3. ON DELETE NULL - (can FK have null values?)

```
1. CREATE TABLE ORDER (
    order_id int PRIMARY KEY,
    delivery_date DATE,
    cust_id INT,
    FOREIGN KEY(cust_id) REFERENCES customer(id) ON DELETE SET NULL
);
```

4. REPLACE

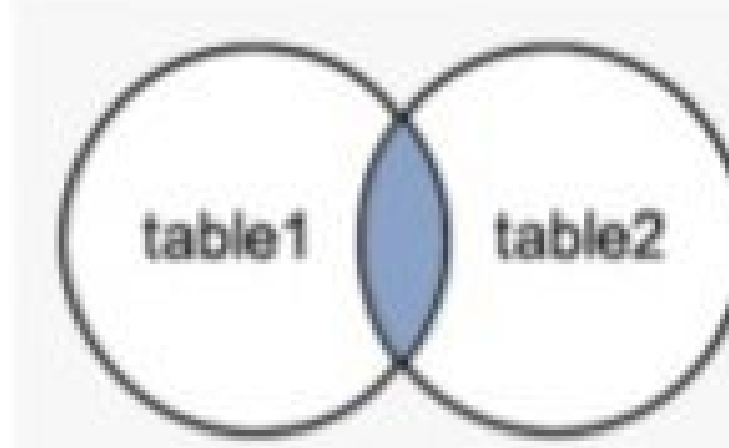
1. Primarily used for already present tuple in a table.
2. As UPDATE, using REPLACE with the help of WHERE clause in PK, then that row will be replaced.
3. As INSERT, if there is no duplicate data new tuple will be inserted.
4. REPLACE INTO student (id, class) VALUES(4, 3);
5. REPLACE INTO table SET col1 = val1, col2 = val2;

JOINING TABLES

1. All RDBMS are relational in nature, we refer to other tables to get meaningful outcomes.
2. FK are used to do reference to other table.

3. INNER JOIN

1. Returns a resultant table that has matching values from both the tables or all the tables.
2. SELECT column-list FROM table1 INNER JOIN table2 ON condition1
INNER JOIN table3 ON condition2
...;



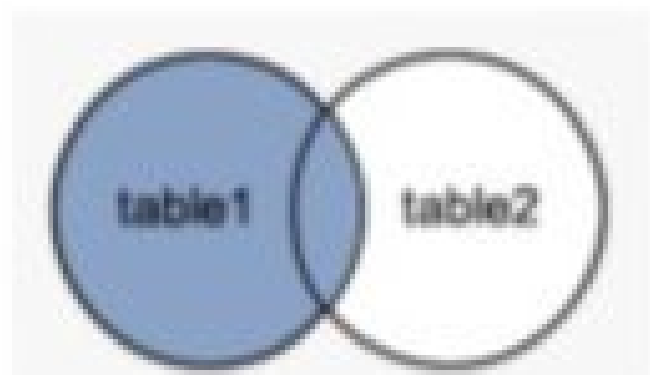
3. Alias in MySQL (AS)

1. Aliases in MySQL is used to give a temporary name to a table or a column in a table for the purpose of a particular query. It works as a nickname for expressing the tables or column names. It makes the query short and neat.
2. SELECT col_name AS alias_name FROM table_name;
3. SELECT col_name1, col_name2,... FROM table_name AS alias_name;

4. OUTER JOIN

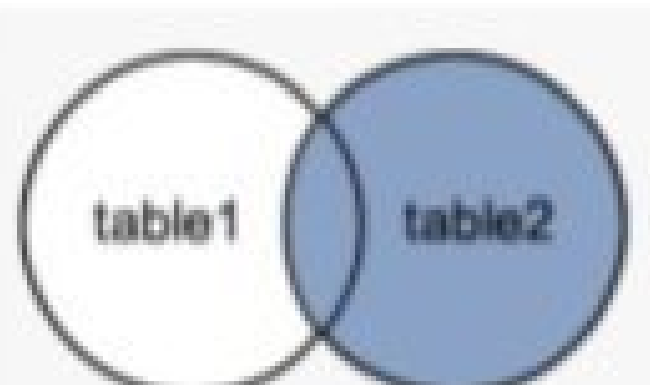
1. LEFT JOIN

1. This returns a resulting table that all the data from left table and the matched data from the right table.
2. SELECT columns FROM table LEFT JOIN table2 ON Join_Condition;



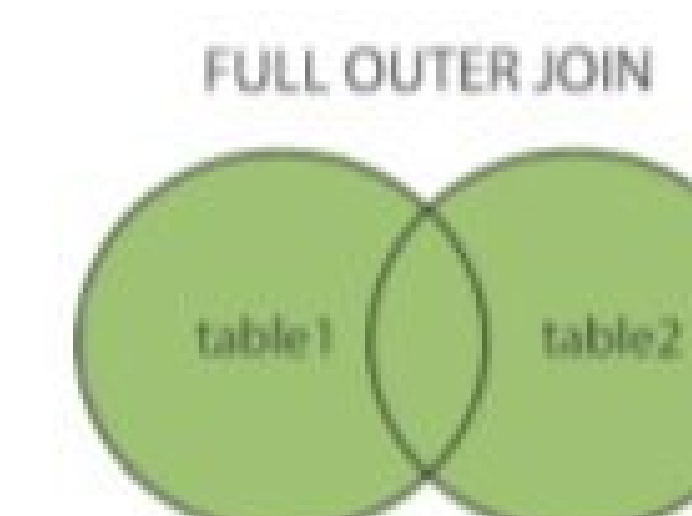
2. RIGHT JOIN

1. This returns a resulting table that all the data from right table and the matched data from the left table.
2. SELECT columns FROM table RIGHT JOIN table2 ON join_cond;



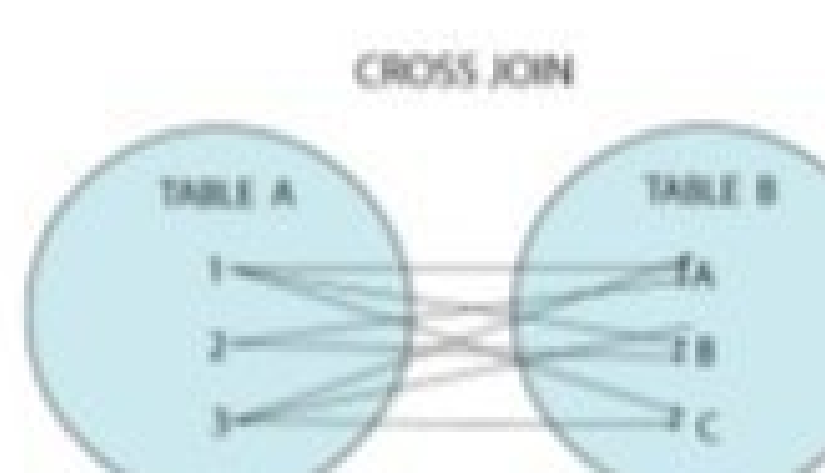
3. FULL JOIN

1. This returns a resulting table that contains all data when there is a match on left or right table data.
2. **Emulated** in MySQL using LEFT and RIGHT JOIN.
3. LEFT JOIN UNION RIGHT JOIN.
4. SELECT columns FROM table1 as t1 LEFT JOIN table2 as t2 ON t1.id = t2.id
UNION
SELECT columns FROM table1 as t1 RIGHT JOIN table2 as t2 ON t1.id = t2.id;
5. UNION ALL, can also be used this will duplicate values as well while UNION gives unique values.



5. CROSS JOIN

1. This returns all the cartesian products of the data present in both tables. Hence, all possible variations are reflected in the output.
2. Used rarely in practical purpose.
3. Table-1 has 10 rows and table-2 has 5, then resultant would have 50 rows.
4. SELECT column-lists FROM table1 CROSS JOIN table2;



6. SELF JOIN



1. It is used to get the output from a particular table when the same table is joined to itself.
 2. Used very less.
 3. Emulated using INNER JOIN.
 4. `SELECT columns FROM table as t1 INNER JOIN table as t2 ON t1.id = t2.id;`
7. **Join without using join keywords.**
1. `SELECT * FROM table1, table2 WHERE condition;`
 2. e.g., `SELECT artist_name, album_name, year_recorded FROM artist, album WHERE artist.id = album.artist_id;`

SET OPERATIONS

1. Used to combine multiple select statements.
2. Always gives distinct rows.

| JOIN | SET Operations |
|--|--|
| Combines multiple tables based on matching condition. | Combination is resulting set from two or more SELECT statements. |
| Column wise combination. | Row wise combination. |
| Data types of two tables can be different. | Datatypes of corresponding columns from each table should be the same. |
| Can generate both distinct or duplicate rows. | Generate distinct rows. |
| The number of column(s) selected may or may not be the same from each table. | The number of column(s) selected must be the same from each table. |
| Combines results horizontally. | Combines results vertically. |

3. UNION

1. Combines two or more SELECT statements.
2. `SELECT * FROM table1
UNION
SELECT * FROM table2;`
3. Number of column, order of column must be same for table1 and table2.

4. INTERSECT

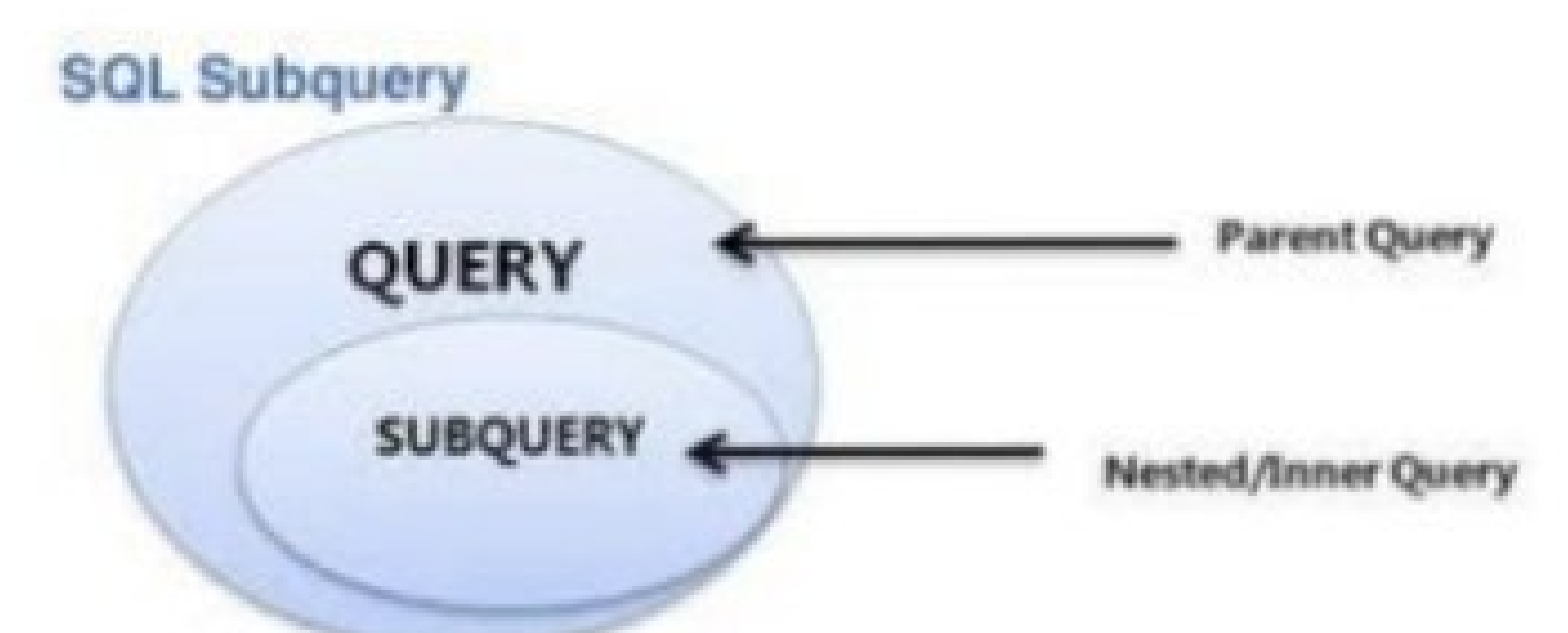
1. Returns common values of the tables.
2. Emulated.
3. `SELECT DISTINCT column-list FROM table-1 INNER JOIN table-2 USING(join_cond);`
4. `SELECT DISTINCT * FROM table1 INNER JOIN table2 ON USING(id);`

5. MINUS

1. This operator returns the distinct row from the first table that does not occur in the second table.
2. Emulated.
3. `SELECT column_list FROM table1 LEFT JOIN table2 ON condition WHERE table2.column_name IS NULL;`
4. e.g., `SELECT id FROM table-1 LEFT JOIN table-2 USING(id) WHERE table-2.id IS NULL;`

SUB QUERIES

1. Outer query depends on inner query.
2. Alternative to joins.
3. Nested queries.
4. `SELECT column_list (s) FROM table_name WHERE column_name OPERATOR (SELECT column_list (s) FROM table_name [WHERE]);`
5. e.g., `SELECT * FROM table1 WHERE col1 IN (SELECT col1 FROM table1);`
6. Sub queries exist mainly in 3 clauses
 1. Inside a WHERE clause.





2. Inside a FROM clause.
3. Inside a SELECT clause.
7. **Subquery using FROM clause**
 1. `SELECT MAX(rating) FROM (SELECT * FROM movie WHERE country = 'India') as temp;`
8. **Subquery using SELECT**
 1. `SELECT (SELECT column_list(s) FROM T_name WHERE condition), columnList(s) FROM T2_name WHERE condition;`
9. **Derived Subquery**
 1. `SELECT columnLists(s) FROM (SELECT columnLists(s) FROM table_name WHERE [condition]) as new_table_name;`
10. **Co-related sub-queries**
 1. With a normal nested subquery, the inner SELECT query runs first and executes once, returning values to be used by the main query. A correlated subquery, however, executes once for each candidate row considered by the outer query. In other words, the inner query is driven by the outer query.

```
SELECT column1, column2, ....
FROM table1 as outer
WHERE column1 operator
      (SELECT column1, column2
       FROM table2
       WHERE expr1 =
           outer.expr2);
```

JOIN VS SUB-QUERIES

| JOINS | SUBQUERIES |
|---|---|
| Faster | Slower |
| Joins maximise calculation burden on DBMS | Keeps responsibility of calculation on user. |
| Complex, difficult to understand and implement | Comparatively easy to understand and implement. |
| Choosing optimal join for optimal use case is difficult | Easy. |

MySQL VIEWS

1. A view is a database object that has no values. Its contents are based on the base table. It contains rows and columns similar to the real table.
2. In MySQL, the View is a **virtual table** created by a query by joining one or more tables. It is operated similarly to the base table but does not contain any data of its own.
3. The View and table have one main difference that the views are definitions built on top of other tables (or views). If any changes occur in the underlying table, the same changes reflected in the View also.
4. `CREATE VIEW view_name AS SELECT columns FROM tables [WHERE conditions];`
5. `ALTER VIEW view_name AS SELECT columns FROM table WHERE conditions;`
6. `DROP VIEW IF EXISTS view_name;`
7. `CREATE VIEW Trainer AS SELECT c.course_name, c.trainer, t.email FROM courses c, contact t WHERE c.id = t.id; (View using Join clause).`

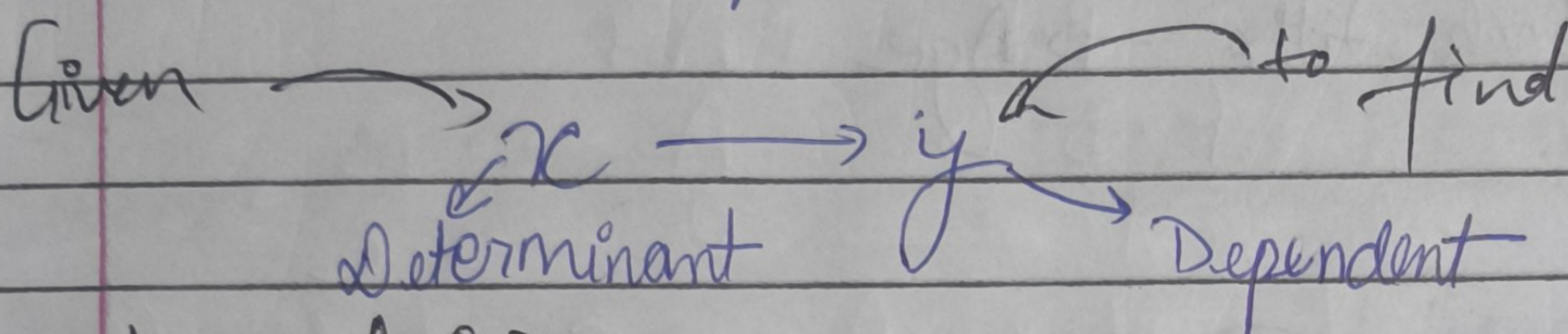
NOTE: We can also import/export table schema from files (.csv or json).

Normalisation

A step towards DB optimisation.

functional dependency (FD) :

relationship between primary key attribute (generally) of the relation to that of the other attribute of relation.
 \Rightarrow x data to y data to y value change.



types of FD

(a) Trivial FD: $A \rightarrow B$, B is a subset of A , ($B \subseteq A$)

eg: $\{emp_id, name\} \rightarrow emp_id$

(b) Non trivial FD: $A \rightarrow B$, $B \not\subseteq A$; $A \cap B = NULL$

eg: $\{emp_id, name\} \rightarrow address$

● Rules of FD (Armstrong's axioms)

a) Reflexive: Y is a subset of X , then, $X \rightarrow Y$

eg: $X = \{a, b, c, d, e\}$
subset $Y = \{a, b, c\}$

then $X \rightarrow Y$

b) Augmentation: If B can be determined from A , then adding an attribute to the functional dependency won't change anything.

eg: $X \rightarrow Y$ Relation $R(X, Y, Z)$
 $XZ \rightarrow YZ$

c) Transitive : If $A \rightarrow B$ & $B \rightarrow C$
then, $A \rightarrow C$.

eg : Author-book (Author-name, Book-title, age)

Book-title \rightarrow Author-name

Author-name \rightarrow Age

then,

Book-title \rightarrow Age

Anomalies

1. Anomalies means abnormalities, there are three types of anomalies introduced by data redundancy.
2. **Insertion anomaly**
 1. When certain data (attribute) can not be inserted into the DB without the presence of other data.
3. **Deletion anomaly**
 1. The delete anomaly refers to the situation where the deletion of data results in the unintended loss of some other important data.
4. **Updation anomaly** (or modification anomaly)
 1. The update anomaly is when an update of a single data value requires multiple rows of data to be updated.
 2. Due to updation to many places, may be **Data inconsistency** arises, if one forgets to update the data at all the intended places.
5. Due to these anomalies, **DB size increases** and **DB performance become very slow**.
6. To rectify these anomalies and the effect of these of DB, we use Database optimisation technique called **NORMALISATION**.

What is Normalisation?

1. Normalisation is used to minimise the redundancy from a relations. It is also used to eliminate undesirable characteristics like Insertion, Update, and Deletion Anomalies.
2. Normalisation divides the composite attributes into individual attributes OR larger table into smaller and links them using relationships.
3. The normal form is used to reduce redundancy from the database table.

What do we do in normalisation :

Table \rightarrow decompose \rightarrow into multiple tables

til SRP is achieved.

Single Responsibility Principle.

Types of Normalisation / Normal Forms

① 1NF : Every relation cell must have atomic value.
Relation must not have multi-valued attributes.

② 2NF : Relation must be 1NF.

\rightarrow There should not be any partial dependency.

① All non prime attributes must be fully depend on PK.

② Non prime attribute can not depend on the part of PK.

$\Rightarrow R(ABCD)$

$\Rightarrow \{A, B\} \rightarrow PK$

$A, B \rightarrow$ Prime attribute, $C, D \rightarrow$ Non Prime attribute

FD $\Rightarrow B \rightarrow C \leftarrow$ Partial dependent \times

$AB \rightarrow C \checkmark$ (should always be full)

$AB \rightarrow D$

So,

$R_1(ABD) \Rightarrow AB \rightarrow D$

$R_2(BC) \Rightarrow B \rightarrow C$

itself is a separate table

2NF Table (Student Project)

| <u>Student ID</u> | <u>Proj ID</u> | Student Name | Project name |
|-------------------|----------------|--------------|--------------|
| S89 | P09 | Arin | Geo |
| S76 | P07 | Jacob | Chitos |
| S56 | P03 | Ava | IoT |
| S92 | P05 | Alex | Cloud |

P.K : { Student ID, Proj ID }

FD : \rightarrow

Student ID \rightarrow Student Name

Project ID \rightarrow Project Name



2NF form
= Student

Student ID

S89
S76
S56
S92

Project ID

P09
P07
P03
P05

Student Name.

Olivia
Jacob
Ava
alex

Project

Project ID

P09
P07
P03
P05

Project Name.

Geo
Winter
IoT
Cloud.



3NF : Relation must be 2NF.

- No transitive dependency exists.
- Non prime attribute should not find a non prime attribute.

⇒ #3NF : $R(ABC)$
P.K = $\{A\}$

F.D $\Rightarrow A \rightarrow B$,
why need? $\leftarrow B \rightarrow C$
if $A \rightarrow C$ (directly)

3NF

$R(\underline{A} \ B \ C)$

P.K $\{A\}$

F.D:- $A \rightarrow B$
 $B \rightarrow C$

2NF ✓

⇒

| | <u>A</u> | B | C |
|------|----------|---|---|
| Copy | 1 | 1 | x |
| | | 1 | x |
| | | 1 | x |
| | 2 | 2 | y |
| | | 2 | y |
| | 3 | 3 | z |

$A \rightarrow \text{Prime}$

$B \rightarrow C$

↓
Non P

↘
Prime



$B \rightarrow C$ — F.D (Transitive depends)

→ decompose 3NF

$R_1(\underline{A} B)$

$R_2(\underline{B} C)$

R_1

A

a
b
c
d
e
f
g

B

1
1
1
2
2
3
3

B

1
2
3

C

x
y
z



4. BCNF (Boyce-Codd normal form)

1. Relation must be in 3NF.
2. FD: $A \rightarrow B$, A must be a super key.
 1. We must not derive prime attribute from any prime or non-prime attribute.

8. Advantages of Normalisation

1. Normalisation helps to minimise data redundancy.
2. Greater overall database organisation.
3. Data consistency is maintained in DB.

BCNF

eg

| Std-ID | Subject | Professor |
|--------|---------|-----------|
| 101 | Java | PJ |
| 101 | CPP | PC |
| 102 | Java | PJ2 |
| 103 | C# | PC# |
| 104 | Java | PJ |

- One student can enroll in multiple subjects
- for each subject, a professor is assigned to a student
- multiple professor can teach a single subject
- One professor can teach only one subject

P.K = ? {Std-ID, subject}

① {Std-ID, subject} \rightarrow Professor

② Professor \rightarrow subject



→) BCNF conversion

Student

| <u>id</u> | p-id. |
|-----------|-------|
| 101 | 1 |
| 101 | 2 |
| . | 1 |
| 1 | |

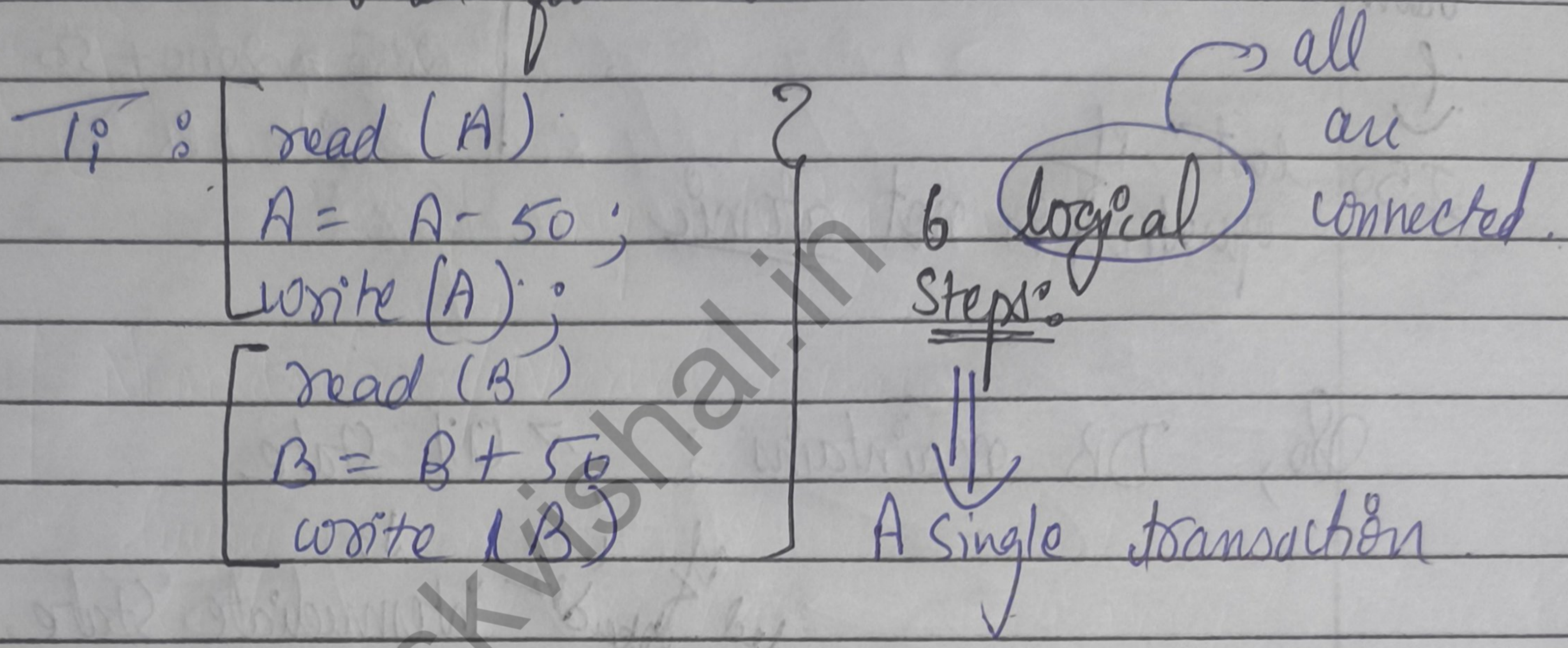
Professor

| <u>p-id</u> | Professor | subject |
|-------------|-----------|---------|
| 1 | PJ | Tava |
| 2 | PC | CPP. |
| 1 | | 1 |
| 1 | | |



Transactions

Task : Transfer ₹50 from A to B.
 - for user 1 step operation,
 but for DB:



Transactions are always atomic operation.
 ↳ either complete or totally fail.
 ↳ rollback.

ACID Properties

To ensure the integrity of data, DB system maintains the following properties of the transaction.

- ① A = Atomicity :
 either all operations of the transaction are reflected properly in DB or none.

Rollback

Ex:

A
read 1000
 $950 = 1000 - 50$
write(A)

B

read 2000
 $2050 = 2000 + 50$
write(B)

Assume system crash.

↓

250 lost if operation is not atomic

So, DB maintains : → Old State

needed if rollback
↓
intermediate State
↓
latest State if success

② C = Consistency :

- Integrity constraints must be maintained before & after transaction.
→ DB must be consistent after transaction.

③ I = Isolation :-

→ Even though multiple transaction may execute concurrently, the system guarantees that, for every pair of transaction T_i & T_j , it appears to T_i that either T_j finished execution before T_i started or T_i started the execution after T_j finished.

Thus each transaction is unaware of other transactions. Executing concurrently in

the system, without interfering in each other.

→ Multiple transaction can happen in the system. in isolation without interfering in each other.

eg: Banking System:

T_1 T_2 T_3 T_4

$T_0 = T_1$ (Google Pay)

T_2 (Not Banking)

read (A) $\Rightarrow 1000$

$A = A - 50 \Rightarrow 950$

$A = 950$

write (950)

read A $\Rightarrow 1000$

$1000 - 50 = 950$

write (950)

$B \rightarrow 50 + (50) \rightarrow$ extra Money

As both transaction started at same time.
Solⁿ: though the req. comes at same time one will either be waiting & let second execute (based on ms diff) or would get rolled back.

④ Durability

→ After transaction completes successfully, the changes it has made to the database persist, even if there are system failure.

eg:

$T_1 \rightarrow$ Success

↓ all 6 steps.

↓

DB update permanent (persist)

→ even if system failure after T completion.

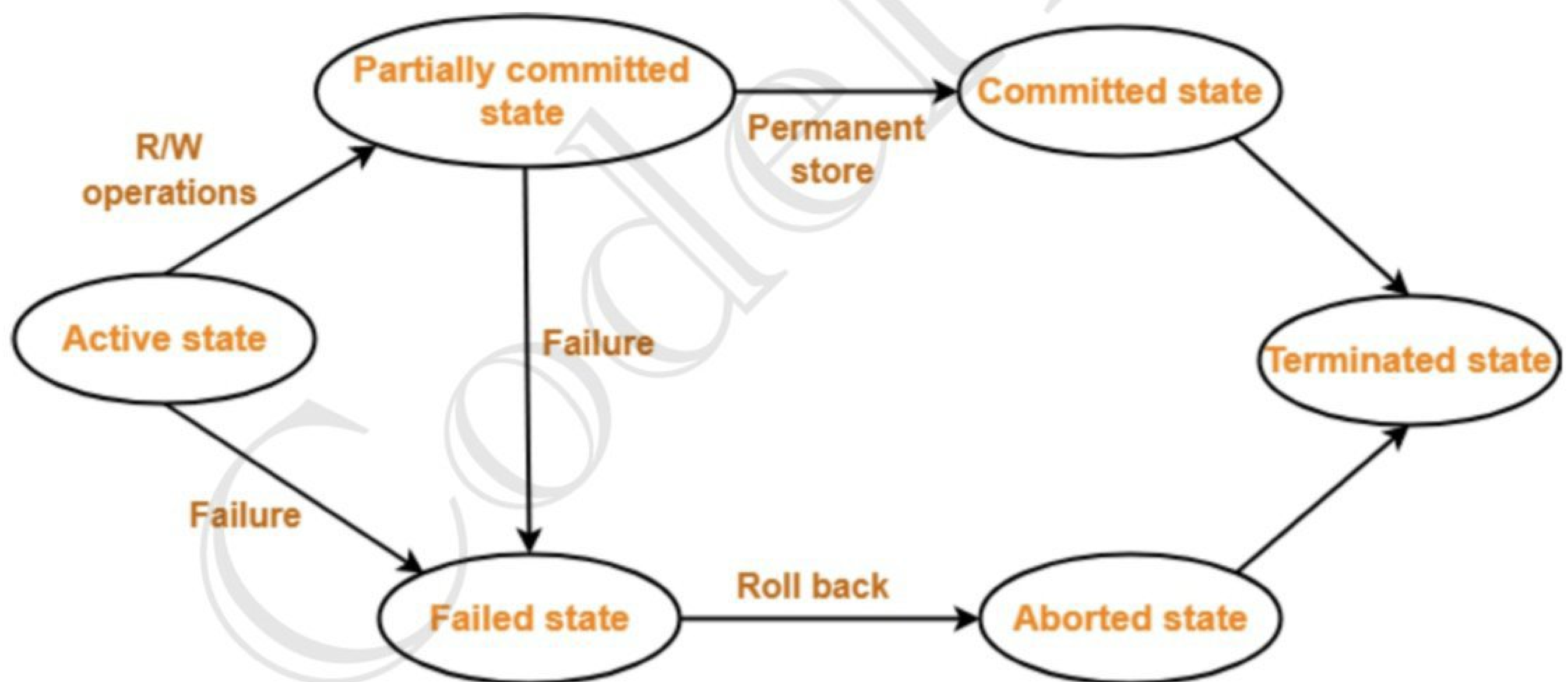
~~recovery~~
should recover

recovery : maintained by recovery management component.

... So, when the system restarts recovery management module to commit those changes.
→ using logs, ~~memory~~ ↑ etc.

using ROM to save intermediate state, checkpoints.

3. Transaction states



Transaction States in DBMS

1. Active state

1. The very first state of the life cycle of the transaction, all the read and write operations are being performed. If they execute without any error the T comes to Partially committed state. Although if any error occurs then it leads to a Failed state.

2. Partially committed state

1. After transaction is executed the changes are saved in the buffer in the main memory. If the changes made are permanent on the DB then the state will transfer to the committed state and if there is any failure, the T will go to Failed state.

3. Committed state

1. When updates are made permanent on the DB. Then the T is said to be in the committed state. Rollback can't be done from the committed states. New consistent state is achieved at this stage.

4. Failed state

1. When T is being executed and some failure occurs. Due to this it is impossible to continue the execution of the T.

5. Aborted state

1. When T reaches the failed state, all the changes made in the buffer are reversed. After that the T rollback completely. T reaches abort state after rollback. DB's state prior to the T is achieved.

6. Terminated state

1. A transaction is said to have terminated if has either committed or aborted.

LEC-13: How to implement Atomicity and Durability in Transactions



Recovery Mechanism Component of DBMS supports **atomicity** and **durability**.

Shadow-copy scheme

1. Based on making copies of DB (aka, **shadow copies**).
2. Assumption only one Transaction (T) is active at a time.
3. A pointer called **db-pointer** is maintained on the **disk**; which at any instant points to current copy of DB.
4. T, that wants to update DB first creates a complete copy of DB.
5. All further updates are done on new DB copy leaving the original copy (shadow copy) untouched.
6. If at any point the **T has to be aborted** the system deletes the new copy. And the old copy is not affected.
7. If T success, it is committed as,
 1. OS makes sure all the pages of the new copy of DB written on the disk.
 2. DB system updates the db-pointer to point to the new copy of DB.
 3. New copy is now the current copy of DB.
 4. The old copy is deleted.
 5. The T is said to have been **COMMITTED** at the point where the updated db-pointer is written to disk.
8. **Atomicity**
 1. If T fails at any time before db-pointer is updated, the old content of DB are not affected.
 2. T abort can be done by just deleting the new copy of DB.
 3. Hence, either all updates are reflected or none.
9. **Durability**
 1. Suppose, system fails are any time before the updated db-pointer is written to disk.
 2. When the system restarts, it will read db-pointer & will thus, see the original content of DB and none of the effects of T will be visible.
 3. T is assumed to be successful only when db-pointer is updated.
 4. If **system fails after** db-pointer has been updated. Before that all the pages of the new copy were written to disk. Hence, when system restarts, it will read new DB copy.
10. The implementation is dependent on write to the db-pointer being atomic. Luckily, disk system provide atomic updates to entire block or at least a disk sector. So, we make sure db-pointer lies entirely in a single sector. By storing db-pointer at the beginning of a block.
11. **Inefficient**, as entire DB is copied for every Transaction.

Log-based recovery methods

1. The log is a sequence of records. Log of each transaction is maintained in some **stable storage** so that if any failure occurs, then it can be recovered from there.
2. If any operation is performed on the database, then it will be recorded in the log.
3. But the process of storing the logs should be done **before** the actual transaction is applied in the database.
4. **Stable storage** is a classification of computer data storage technology that guarantees atomicity for any given write operation and allows software to be written that is robust against some hardware and power failures.
5. **Deferred DB Modifications**
 1. Ensuring **atomicity** by recording all the DB modifications in the log but deferring the execution of all the write operations until the final action of the T has been executed.
 2. Log information is used to execute deferred writes when T is completed.
 3. If system crashed before the T completes, or if T is aborted, the information in the logs are ignored.
 4. If T completes, the records associated to it in the log file are used in executing the deferred writes.
 5. If failure occur while this updating is taking place, we preform redo.
6. **Immediate DB Modifications**
 1. DB modifications to be output to the DB while the T is still in active state.
 2. DB modifications written by active T are called uncommitted modifications.
 3. In the event of crash or T failure, system uses old value field of the log records to restore modified values.
 4. Update takes place only after log records in a stable storage.
 5. Failure handling
 1. System failure before T completes, or if T aborted, then old value field is used to undo the T.
 2. If T completes and system crashes, then new value field is used to redo T having commit logs in the logs.

① Deferred DB Modification

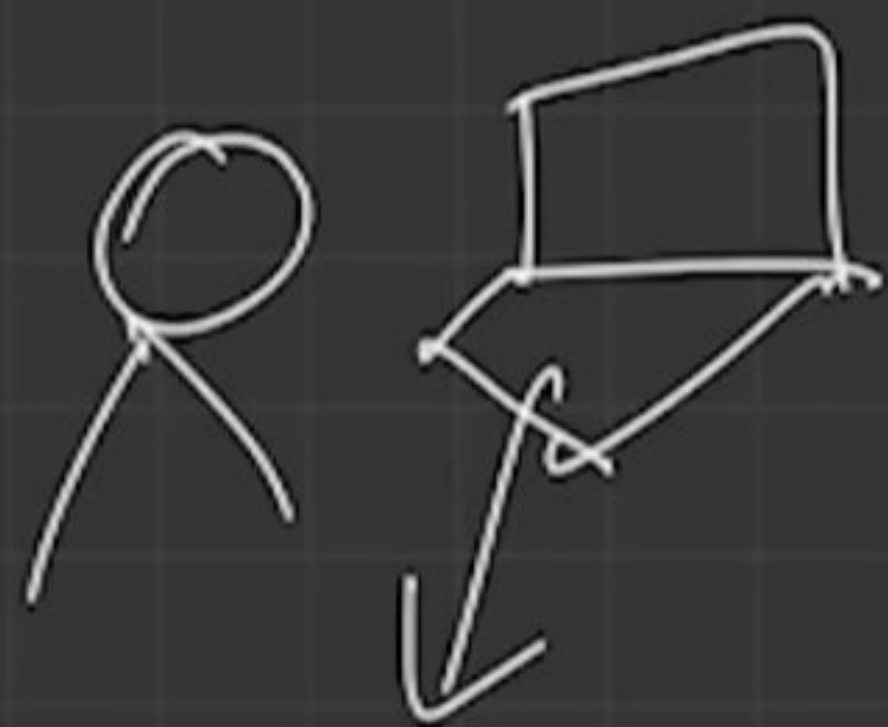
② Immediate DB modification

$\langle T_0, \text{start} \rangle$
 $\langle T_0, A, 1000, 950 \rangle$
 $\langle T_0, B, 2000, 2050 \rangle$
 $\langle T_0, \text{commit} \rangle$

old item value
new item value.



Lec-14



SQL
= Select
Where.

DBMS

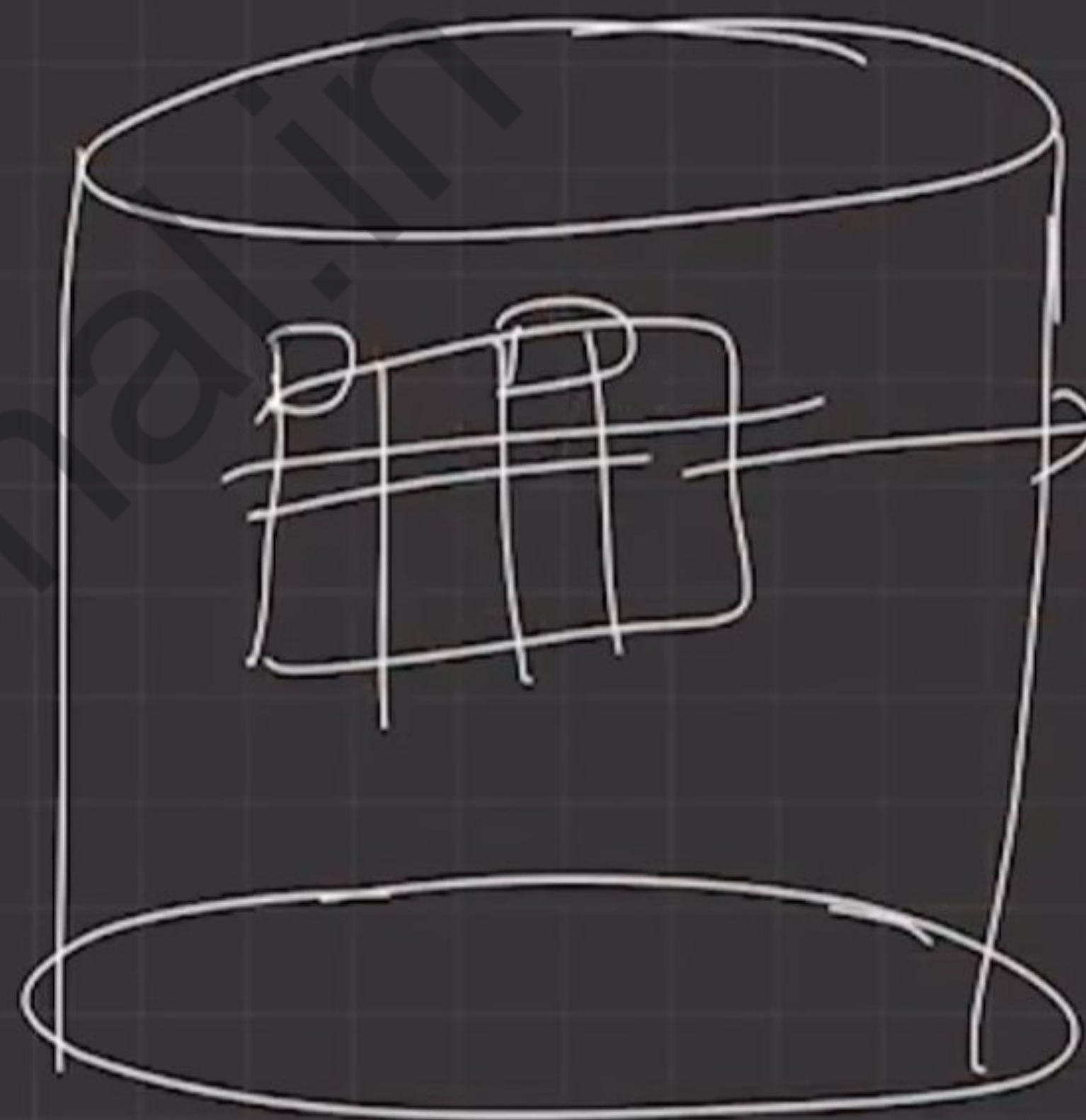


Table
student

Disk



Data struct

Data file in Disk (10,000 records)

INDEX

INDEX file

| Roll no. | BP |
|----------|-------------------|
| 1 | B ₁ |
| 11 | B ₂ |
| 21 | B ₃ |
| ⋮ | ⋮ |
| 9991 | B ₁₀₀₀ |

| | |
|----|---|
| 1 | |
| 2 | |
| ⋮ | ⋮ |
| 10 | |

B₁

| | |
|----|--|
| 11 | |
| ⋮ | |
| 20 | |
| 21 | |

B₂

| | |
|-------|--|
| 9991 | |
| 10000 | |

B₁₀₀₀

each Block has 10 records → Total B1



Types of Indexing

① Primary index

① Based on key attribute (ordering datafile based on key attribute)

→ P.K / C.K

↳ indexing → Sparse indexing



② Based on non-key attribute

↳ Clustering Indexing

2) INDEX

| NK | BP |
|----|----------------|
| 1 | B ₁ |
| 2 | B ₁ |
| 3 | B ₁ |
| 4 | B ₂ |
| 5 | B ₃ |
| ⋮ | ⋮ |

| NK | |
|-----|--|
| 1 | |
| 1 | |
| 2 | |
| 3 | |
| 3 | |
| 3 | |
| 5 | |
| 5 | |
| 5 | |
| 5 | |
| 5 | |
| ⋮ | |
| 8 | |
| 100 | |

→ B₁

→ B₂

→ B₃



② Based on non-key attribute

↳ Clustering Indexing

2)

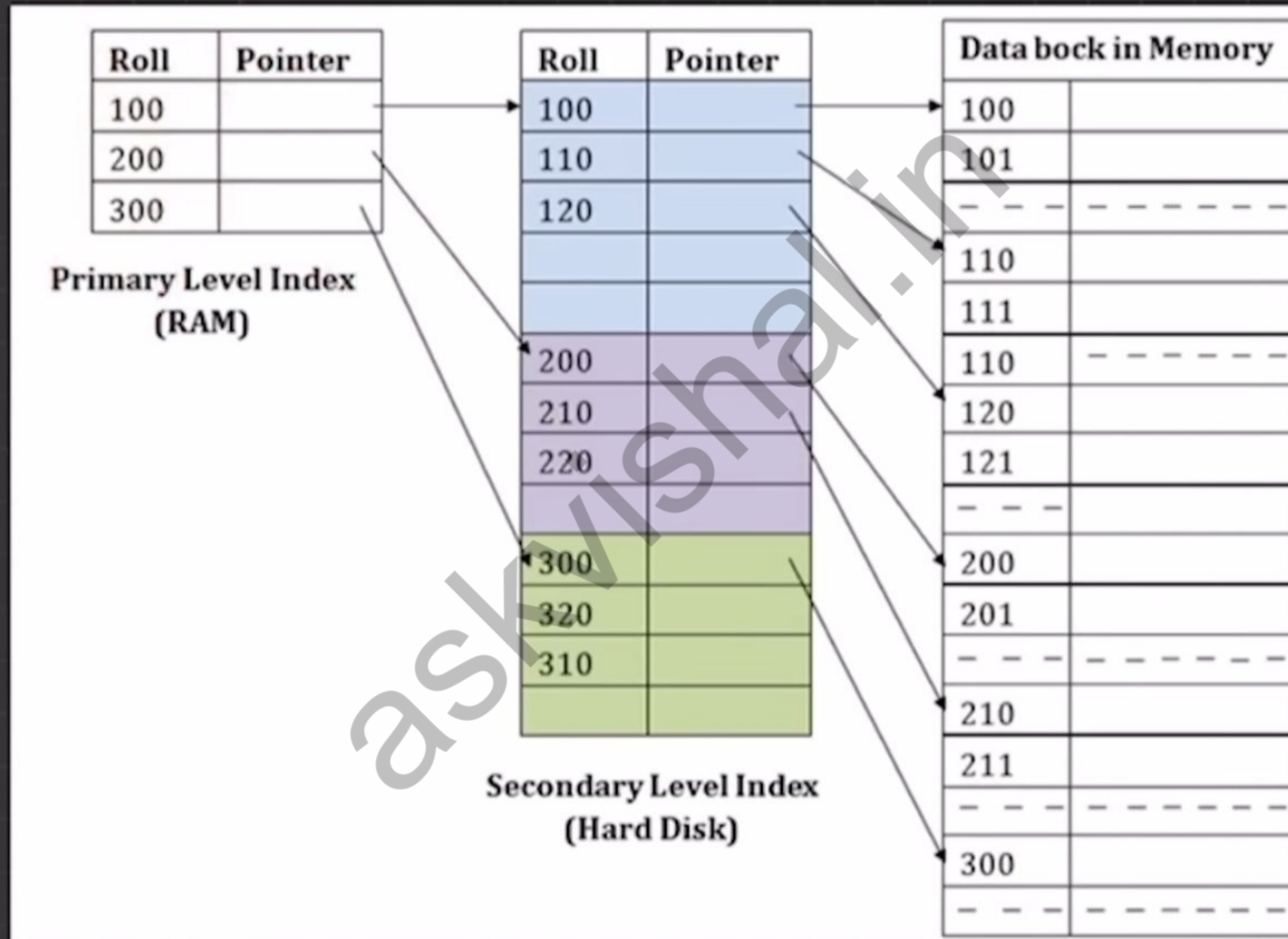
INDEX

| Nk | BP |
|----|----------------|
| 1 | B ₁ |
| 2 | B ₁ |
| 3 | B ₁ |
| 4 | B ₂ |
| 5 | B ₂ |
| ⋮ | ⋮ |

| Nk | |
|-----|----------------|
| 1 | B ₁ |
| 1 | |
| 2 | |
| 5 | B ₂ |
| 3 | |
| 3 | |
| 4 | B ₂ |
| 5 | |
| 5 | |
| 8 | B ₂ |
| 100 | |



Multi Level INDEXING



Secondary Indexing

- Datafile unsorted

- S.K \rightarrow Key or Non Key

| S.K | BP |
|-----|----|
| 1 | |
| 2 | |
| 3 | |

\nearrow Search Key

| S.K | |
|-----|--|
| 1 | |
| 19 | |
| 23 | |
| 92 | |
| 89 | |
| 2 | |
| . | |
| . | |
| 1 | |
| 8 | |
| 12 | |
| 100 | |

$\rightarrow B_1$

$\rightarrow B_2$

- Dense Indexing



| SK | BP |
|----|--------------------------|
| 1 | $B_1 \rightarrow B_{19}$ |
| 2 | $B_2 \rightarrow B_9$ |
| ⋮ | ⋮ |

index.

| SK | |
|-------------------|----------------------|
| 1 1 19 | $\rightarrow B_1$ |
| 2 8 9 11 | $\rightarrow B_2$ |
| 8 2 19 | $\rightarrow B_{19}$ |

Data file



⇒ Data Modeling in SQL vs NoSQL

① SQL

Mers

| ID | first-name | last-name | cell | city |
|----|------------|-----------|------|--------|
| 1 | Tata | Salt | 811 | Mumbai |

Hobbies

| ID | mer-id | hobby |
|----|--------|--------------|
| 10 | 1 | scrapbooking |
| 11 | 1 | Games |
| 12 | 1 | Biking |



⇒ Data Modeling in SQL vs NoSQL

① SQL

Mers

| ID | first-name | last-name | cell | city |
|----|------------|-----------|------|--------|
| 1 | Tata | Salt | 811 | Mumbai |

Hobbies

| ID | mer-id | hobby |
|----|--------|--------------|
| 10 | 1 | Scrapbooking |
| 11 | 1 | Games |
| 12 | 1 | Biking |



⇒ Data Modeling in SQL vs NoSQL

① SQL

Mers

| ID | first-name | last-name | cell | city |
|----|------------|-----------|------|--------|
| 1 | Tata | Salt | 811 | Mumbai |

Hobbies

| ID | mer-id | hobby |
|----|--------|--------------|
| 10 | 1 | scrapbooking |
| 11 | 1 | Games, |
| 12 | 1 | Biking |



② NoSQL.

{

"id": 1,
"first-name": "Tata",
"last-name": "Salt",
"cell": "811",
"city": "Mumbai",

"hobbies": ["Scrapbooking", "Games", "Biking"]

}



ACID vs BASE – Comparison Table

| Feature | ACID | BASE |
|---------------------|---|---|
| Full Form | Atomicity, Consistency, Isolation, Durability | Basically Available, Soft State, Eventual Consistency |
| Database Type | Relational (RDBMS) | NoSQL |
| Consistency Model | Strong consistency | Eventual consistency |
| Availability | Lower compared to BASE | High availability |
| Transaction Support | Full transaction support | Limited / relaxed transactions |
| Scalability | Vertical scaling (hard) | Horizontal scaling (easy) |
| Schema | Fixed schema | Flexible / schema-less |
| Failure Handling | Rolls back on failure | System remains available |
| Performance | Slower due to strict rules | Faster due to relaxed rules |
| Best Use Cases | Banking, finance, critical systems | Big data, social media, real-time analytics |
| Examples | MySQL, PostgreSQL, Oracle | MongoDB, Cassandra, DynamoDB |

One-line memory tip:

ACID = Correctness first | BASE = Availability first

